

# Reliable Multicasting with the JGroups Toolkit

\$Revision: 1.14 \$

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# Foreword

This is the JGroups manual. It provides information about:

1. Installation and configuration
2. Using JGroups (the API)
3. Configuration of the JGroups protocols

The focus is on how to *use* JGroups, not on how JGroups is implemented.

Here are a couple of points I want to abide by throughout this book:

1. I like brevity. I will strive to describe concepts as clearly as possible (for a non-native English speaker) and will refrain from saying more than I have to to make a point.
2. I like simplicity. Keep It Simple and Stupid. This is one of the biggest goals I have both in writing this manual and in writing JGroups. It is easy to explain simple concepts in complex terms, but it is hard to explain a complex system in simple terms. I'll try to do the latter.

## So, how did it all start?

I spent 1998-1999 at the Computer Science Department at Cornell University as a post-doc, in Ken Birman's group. Ken is credited with inventing the group communication paradigm, especially the Virtual Synchrony model. At the time they were working on their third generation group communication prototype, called Ensemble. Ensemble followed Horus (written in C by Robbert VanRenesse), which followed ISIS (written by Ken Birman, also in C). Ensemble was written in OCaml, developed at INRIA, and is a functional language and related to ML. I never liked the OCaml language, which in my opinion has a hideous syntax. Therefore I never got warm with Ensemble either.

However, Ensemble had a Java interface (implemented by a student in a semester project) which allowed me to program in Java and use Ensemble underneath. The Java part would require that an Ensemble process was running somewhere on the same machine, and would connect to it via a bidirectional pipe. The student had developed a simple protocol for talking to the Ensemble engine, and extended the engine as well to talk back to Java.

However, I still needed to compile and install the Ensemble runtime for each different platform, which is exactly why Java was developed in the first place: portability.

Therefore I started writing a simple framework (now `JChannel`), which would allow me to treat Ensemble as just another group communication transport, which could be replaced at any time by a pure Java solution. And soon I found myself working on a pure Java implementation of the group communication transport (now: `ProtocolStack`). I figured that a pure Java implementation would have a much bigger impact than something written in Ensemble. In the end I didn't spend much time writing scientific papers that nobody would read anyway (I guess I'm not a good scientist, at least not a theoretical one), but rather code for JGroups, which could have a much bigger impact. For me, knowing that real-life projects/products are using JGroups is much more satisfactory than having a paper accepted at a conference/journal.

That's why, after my time was up, I left Cornell and academia altogether, and started a job in the industry: with

Fujitsu Network Communications in Silicon Valley.

At around that time (May 2000), SourceForge had just opened its site, and I decided to use it for hosting JGroups. I guess this was a major boost for JGroups because now other developers could work on the code. From then on, the page hit and download numbers for JGroups have steadily risen.

In the fall of 2002, Sacha Labourey contacted me, letting me know that JGroups was being used by JBoss for their clustering implementation. I joined JBoss in 2003 and have been working on JGroups and JBossCache. My goal is to make JGroups the most widely used clustering software in Java ...

Bela Ban, San Jose, Aug 2002, Kreuzlingen Switzerland 2006

---

# Acknowledgments

I want to thank all contributors to JGroups, present and past, for their work. Without you, this project would never have taken off the ground.

I also want to thank Ken Birman and Robbert VanRenesse for many fruitful discussions of all aspects of group communication in particular and distributed systems in general.

I want to dedicate this manual to Jeannette and Michelle.

# 1

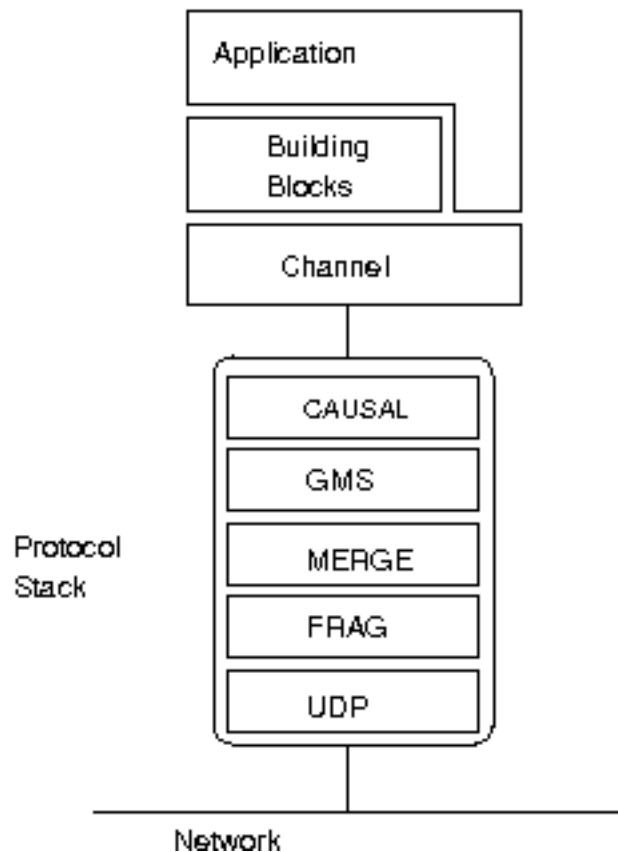
## Overview

Group communication uses the terms *group* and *member*. Members are part of a group. In the more common terminology, a member is a *node* and a group is a *cluster*. We use these terms interchangeably.

A node is a process, residing on some host. A cluster can have one or more nodes belonging to it. There can be multiple nodes on the same host, and all may or may not be part of the same cluster.

JGroups is toolkit for reliable group communication. Processes can join a group, send messages to all members or single members and receive messages from members in the group. The system keeps track of the members in every group, and notifies group members when a new member joins, or an existing member leaves or crashes. A group is identified by its name. Groups do not have to be created explicitly; when a process joins a non-existing group, that group will be created automatically. Member processes of a group can be located on the same host, within the same LAN, or across a WAN. A member can be part of multiple groups.

The architecture of JGroups is shown in Figure 1.1.



**Figure 1.1.** The architecture of JGroups

It consists of 3 parts: (1) the Channel used by application programmers to build reliable group communication applications, (2) the building blocks, which are layered on top of the channel and provide a higher abstraction level and (3) the protocol stack, which implements the properties specified for a given channel.

This document describes how to install and *use* JGroups, ie. the Channel API and the building blocks. The targeted audience is application programmers who want to use JGroups to build reliable distributed programs that need group communication.

A channel is connected to a protocol stack. Whenever the application sends a message, the channel passes it on to the protocol stack, which passes it to the topmost protocol. The protocol processes the message and the passes it on to the protocol below it. Thus the message is handed from protocol to protocol until the bottom (transport) protocol puts it on the network. The same happens in the reverse direction: the transport protocol listens for messages on the network. When a message is received it will be handed up the protocol stack until it reaches the channel. The channel stores the message in a queue until the application consumes it.

When an application connects to the channel, the protocol stack will be started, and when it disconnects the stack will be stopped. When the channel is closed, the stack will be destroyed, releasing its resources.

The following three sections give an overview of channels, building blocks and the protocol stack.

## 1.1. Channel

To join a group and send messages, a process has to create a *channel* and connect to it using the group name (all channels with the same name form a group). The channel is the handle to the group. While connected, a member may send and receive messages to/from all other group members. The client leaves a group by disconnecting from the channel. A channel can be reused: clients can connect to it again after having disconnected. However, a channel allows only 1 client to be connected at a time. If multiple groups are to be joined, multiple channels can be created and connected to. A client signals that it no longer wants to use a channel by closing it. After this operation, the channel cannot be used any longer.

Each channel has a unique address. Channels always know who the other members are in the same group: a list of member addresses can be retrieved from any channel. This list is called a *view*. A process can select an address from this list and send a unicast message to it (also to itself), or it may send a multicast message to all members of the current view (also including itself). Whenever a process joins or leaves a group, or when a crashed process has been detected, a new *view* is sent to all remaining group members. When a member process is suspected of having crashed, a *suspicion message* is received by all non-faulty members. Thus, channels receive regular messages, view messages and suspicion messages. A client may choose to turn reception of views and suspicions on/off on a channel basis.

Channels are similar to BSD sockets: messages are stored in a channel until a client removes the next one (pull-principle). When no message is currently available, a client is blocked until the next available message has been received.

*Note that the push approach to receiving messages and views is preferred. This involves setting a Receiver in the channel and getting callbacks invoked by JGroups whenever a message or view is received. The current pull approach (JChannel.receive() method) has been deprecated in 2.8 and will be removed in 3.0.*

There is currently only one implementation of Channel: JChannel.

The properties of a channel are typically defined in an XML file, but JGroups also allows for configuration through simple strings, URIs, DOM trees or even programmatically.

The Channel API and its related classes is described in Chapter 3.

## 1.2. Building Blocks

Channels are simple and primitive. They offer the bare functionality of group communication, and have on purpose been designed after the simple model of BSD sockets, which are widely used and well understood. The reason is that an application can make use of just this small subset of JGroups, without having to include a whole set of sophisticated classes, that it may not even need. Also, a somewhat minimalistic interface is simple to understand: a client needs to know about 12 methods to be able to create and use a channel (and oftentimes will only use 3-4 methods frequently).

Channels provide asynchronous message sending/reception, somewhat similar to UDP. A message sent is essentially put on the network and the `send()` method will return immediately. Conceptual *requests*, or *responses* to previous requests, are received in undefined order, and the application has to take care of matching responses with requests.

Also, an application has to actively *retrieve* messages from a channel (pull-style); it is not notified when a message has been received. Note that pull-style message reception often needs another thread of execution, or some form of event-loop, in which a channel is periodically polled for messages.

JGroups offers building blocks that provide more sophisticated APIs on top of a Channel. Building blocks either create and use channels internally, or require an existing channel to be specified when creating a building block. Applications communicate directly with the building block, rather than the channel. Building blocks are intended to save the application programmer from having to write tedious and recurring code, e.g. request-response correlation.

Building blocks are described in Chapter 4.

## 1.3. The Protocol Stack

The protocol stack contains a number of protocol layers in a bidirectional list. All messages sent and received over the channel have to pass through all protocols. Every layer may modify, reorder, pass or drop a message, or add a header to a message. A fragmentation layer might break up a message into several smaller messages, adding a header with an id to each fragment, and re-assemble the fragments on the receiver's side.

The composition of the protocol stack, i.e. its layers, is determined by the creator of the channel: an XML file defines the layers to be used (and the parameters for each layer). The configuration is used to create the stack, depending on the protocol names given in the property.

Knowledge about the protocol stack is not necessary when only *using* channels in an application. However, when an application wishes to ignore the default properties for a protocol stack, and configure their own stack, then knowledge about what the individual layers are supposed to do is needed. Although it is syntactically possible to stack any layer on top of each other (they all have the same interface), this wouldn't make sense semantically in most cases.

## 1.4. Header

A header is a custom bit of information that can be added to each message. JGroups uses headers extensively, for example to add sequence numbers to each message (NAKACK and UNICAST), so that those messages can be delivered in the order in which they were sent.

## 1.5. Event

Events are means by which JGroups protocols can talk to each other. Contrary to Messages, which travel over the network between group members, events only travel up and down the stack.

---

# 2

## Installation and Configuration

The installation refers to version 2.8 of JGroups. Refer to the installation instructions that are shipped with JGroups for details.

Note that these instructions are also available in the JGroups distribution (`INSTALL.HTML`).

JGroups comes in a binary and a source version: the binary version is `JGroups-2.x.x.bin.zip`, the source version is `JGroups-2.x.x.src.zip`. The binary version contains the JGroups JAR file, plus a number of JARs needed by JGroups. The source version contains all source files, plus several JAR files needed by JGroups, e.g. ANT to build JGroups from source.

### 2.1. Requirements

- JGroups 2.5 requires JDK 1.5 or higher. Version 2.9 requires JDK 1.6 or higher.
- There is no JNI code present so JGroups should run on all platforms.
- If you want to generate HTML-based test reports from the unittests, then `xalan.jar` needs to be in the CLASSPATH (also available in the lib directory)

### 2.2. Installing the binary distribution

The binary version contains

1. `jgroups-all.jar`: the JGroups library including the demos
2. `CREDITS`: list of contributors
3. `INSTALL.html`: this file
4. `log4j.jar`. This JAR is optional, for example if JDK logging is used, we don't need it. Note that `commons-logging` is not a requirement any more since version 2.8.

Place the JAR files somewhere in your CLASSPATH, and you're ready to start using JGroups.

### 2.3. Installing the source distribution

The source version consists of the following directories and files:

1. `src`: the sources
2. `test`: unit and stress tests
3. `conf`: configuration files needed by JGroups, plus default protocol stack definitions
4. `doc`: documentation
5. `lib`: various JARs needed to build and run JGroups:
  - a. Ant [1] JARs: used to build JGroups. If you already have Ant installed, you won't need these files
  - b. `xalan.jar` [2]: to format the output of the JUnit tests using an XSLT converter to HTML
  - c. `log4j.jar`
  - d. etc

## 2.4. Building JGroups (source distribution only)

1. Unzip the source distribution, e.g. `unzip JGroups-2.x.x.src.zip`. This will create the `JGroups-2.x.x` directory (root directory) under the current directory.
2. `cd` to the root directory
3. Modify `build.properties` if you want to use a Java compiler other than `javac` (e.g. `jikes`), or if you want to change the interface JGroups uses for sending and receiving messages
4. On UNIX systems use `build.sh`, on Windows `build.bat`: `$> ./build.sh compile`
5. This will compile all Java files (into the `classes` directory).
6. To generate the JARs: `$> ./build.sh jar`
7. This will generate the following JAR files in the `dist` directory:
  - `jgroups-core.jar` - the core JGroups library without unit tests and demos
  - `jgroups-all.jar` - the complete JGroups library including demos and unit tests
8. The `CLASSPATH` now has to be set accordingly: the following directories and/or JARs have to be included:
  - a. `<JGroups rootdir>/classes`
  - b. `<JGroups rootdir>/conf`
  - c. All needed JAR files in `<JGroups rootdir>/lib`. To build from sources, the two Ant JARs are required.

[1] <http://jakarta.apache.org/ant/>

[2] <http://xml.apache.org/>

To run unit tests, the JUnit (and possibly Xalan) JARs are needed.

9. To generate JavaDocs simply run `$> ./build.sh javadoc` and the Javadoc documentation will be generated in the `dist/javadoc` directory
10. Note that - if you already have Ant installed on your system - you do not need to use `build.sh` or `build.bat`, simply invoke `ant` on the `build.xml` file. To be able to invoke `ant` from any directory below the root directory, place `ANT_ARGS="-find build.xml -emacs"` into the `.antrc` file in your home directory.
11. For more details on Ant see <http://jakarta.apache.org/ant/>.

## 2.5. Testing your Setup

To see whether your system can find the JGroups classes, execute the following command:

```
java org.jgroups.Version
```

or (from JGroups 2.2.8 on)

```
java -jar jgroups-all.jar
```

You should see the following output (more or less) if the class is found:

```
[mac] /Users/bela/JGroups$ java org.jgroups.Version
Version:      2.8.0.GA
CVS:         $Id: installation.xml,v 1.9 2010/02/11 10:51:45 belaban Exp $
```

## 2.6. Running a Demo Program

To test whether JGroups works okay on your machine, run the following command twice:

```
java org.jgroups.demos.Draw
```

2 whiteboard windows should appear as shown in Figure 2.1.



**Figure 2.1. Screenshot of 2 Draw instances**

Both windows should show 2 in their title bars. This means that the two instances found each other and formed a group.

When drawing in one window, the second instance should also be updated. As the default group transport uses IP multicast, make sure that - if you want start the 2 instances in different subnets - IP multicast is enabled. If this is not the case, the 2 instances won't find each other and the sample won't work.

You can change the properties of the demo to for example use a different transport if multicast doesn't work (it should always work on the same machine). Please consult the documentation to see how to do this.

State transfer (see the section in the API later) can also be tested by passing the `-state` flag to Draw.

## 2.7. Using IP Multicasting without a network connection

Sometimes there isn't a network connection (e.g. DSL modem is down), or we want to multicast only on the local machine. For this the loopback interface (typically `lo`) can be configured, e.g.

```
route add -net 224.0.0.0 netmask 240.0.0.0 dev lo
```

This means that all traffic directed to the 224.0.0.0 network will be sent to the loopback interface, which means it doesn't need any network to be running. Note that the 224.0.0.0 network is a placeholder for all multicast addresses in most UNIX implementations: it will catch *all* multicast traffic. This is an undocumented feature of `/sbin/route` and may not work across all UNIX flavors. The above instructions may also work for Windows systems, but this hasn't been tested. Note that not all systems allow multicast traffic to use the loopback interface.

Typical home networks have a gateway/firewall with 2 NICs: the first (eth0) is connected to the outside world (Internet Service Provider), the second (eth1) to the internal network, with the gateway firewalling/masquerading traffic between the internal and external networks. If no route for multicast traffic is added, the default will be to use the fdefault gateway, which will typically direct the multicast traffic towards the ISP. To prevent this (e.g. ISP drops multicast traffic, or latency is too high), we recommend to add a route for multicast traffic which goes to the internal network (e.g. eth1).

## 2.8. It doesn't work !

Make sure your machine is set up correctly for IP multicast. There are 2 test programs that can be used to detect this: McastReceiverTest and McastSenderTest. Start McastReceiverTest, e.g.

```
java org.jgroups.tests.McastReceiverTest -mcast_addr 224.10.10.10 -port 5555
```

Then start McastSenderTest:

```
java org.jgroups.tests.McastSenderTest -mcast_addr 224.10.10.10 -port 5555
```

If you want to bind to a specific network interface card (NIC), use `-bind_addr 192.168.0.2`, where 192.168.0.2 is the IP address of the NIC to which you want to bind. Use this parameter in both sender and receiver.

You should be able to type in the McastSenderTest window and see the output in the McastReceiverTest. If not, try to use `-ttl 32` in the sender. If this still fails, consult a system administrator to help you setup IP multicast correctly. If you are the system administrator, look for another job :-)

Other means of getting help: there is a public forum on JIRA [4] for questions. Also consider subscribing to the javagroups-users mailing list to discuss such and other problems.

## 2.9. The instances still don't find each other !

In this case we have to use a sledgehammer (running only under JDK 1.4. and higher): we can enable the above sender and receiver test to use all available interfaces for sending and receiving. One of them will certainly be the right one... Start the receiver as follows:

```
java org.jgroups.tests.McastReceiverTest1_4 -mcast_addr 228.8.8.8 -use_all_interfaces
```

The multicast receiver uses the 1.4 functionality to list *all available network interfaces and bind to all of them* (including the loopback interface). This means that whichever interface a packet comes in on, we will receive it. Now start the sender:

```
java org.jgroups.tests.McastSenderTest1_4 -mcast_addr 228.8.8.8 -use_all_interfaces
```

[4] <http://jira.jboss.com/jira/browse/JGRP>

The sender will also determine the available network interfaces and send each packet over all interfaces.

This test can be used to find out which network interface to bind to when previously no packets were received. E.g. when you see the following output in the receiver:

```
bash-2.03$ java org.jgroups.tests.McastReceiverTest1_4 -mcast_addr 228.8.8.8 -bind_addr 192.168.168.4
Socket=0.0.0.0/0.0.0.0:5555, bind interface=/192.168.168.4
dd [sender=192.168.168.4:5555]
dd [sender=192.168.168.1:5555]
dd [sender=192.168.168.2:5555]
```

you know that you can bind to any of the 192.168.168.{1,2,4} interfaces to receive your multicast packets. In this case you would need to modify your protocol spec to include `bind_addr=192.168.168.2` in UDP, e.g. `"UDP(mcast_addr=228.8.8.8;bind_addr=192.168.168.2):..."` .

## 2.10. Problems with IPv6

Another source of problems might be the use of IPv6, and/or misconfiguration of `/etc/hosts`. If you communicate between an IPv4 and an IPv6 host, and they are not able to find each other, try the `java.net.preferIP4Stack=true` property, e.g.

```
java -Djava.net.preferIP4Stack=true org.jgroups.demos.Draw -props file:/home/bela/udp.xml
```

JDK 1.4.1 uses IPv6 by default, although it has a dual stack, that is, it also supports IPv4. Here's [5] more details on the subject.

## 2.11. Wiki

There is a wiki which lists FAQs and their solutions at <http://www.jboss.org/wiki/Wiki.jsp?page=JGroups>. It is frequently updated and a useful companion to this user's guide.

## 2.12. I have discovered a bug !

If you think that you discovered a bug, submit a bug report on JIRA [7] or send email to `javagroups-developers` if you're unsure about it. Please include the following information:

- Version of JGroups (`java org.jgroups.Version`)
- Platform (e.g. Solaris 8)

[5] [http://java.sun.com/j2se/1.4/docs/guide/net/ipv6\\_guide/](http://java.sun.com/j2se/1.4/docs/guide/net/ipv6_guide/)

[7] <http://jira.jboss.com/jira/browse/JGRP>

- Version of JDK (e.g. JDK 1.4.2\_07)
- Stack trace. Use kill -3 PID on UNIX systems or CTRL-BREAK on windows machines
- Small program that reproduces the bug

---

# 3

## API

This chapter explains the classes available in JGroups that will be used by applications to build reliable group communication applications. The focus is on creating and using channels.

Information in this document may not be up-to-date, but the nature of the classes in the JGroups toolkit described here is the same. For the most up-to-date information refer to the Javadoc-generated documentation in the `doc/javadoc` directory.

All of the classes discussed below reside in the `org.jgroups` package unless otherwise mentioned.

### 3.1. Utility classes

The `org.jgroups.util.Util` class contains a collection of useful functionality which cannot be assigned to any particular package.

#### 3.1.1. `objectToByteBuffer()`, `objectFromByteBuffer()`

The first method takes an object as argument and serializes it into a byte buffer (the object has to be serializable or externalizable). The byte array is then returned. This method is often used to serialize objects into the byte buffer of a message. The second method returns a reconstructed object from a buffer. Both methods throw an exception if the object cannot be serialized or unserialized.

### 3.2. Interfaces

These interfaces are used with some of the APIs presented below, therefore they are listed first.

#### 3.2.1. `MessageListener`

Contrary to the pull-style of channels, some building blocks (e.g. `PullPushAdapter`) provide an event-like *push-style* message delivery model. In this case, the entity to be notified of message reception needs to provide a callback to be invoked whenever a message has been received. The `MessageListener` interface below provides a method to do so:

```
public interface MessageListener {
    public void receive(Message msg);
    byte[] getState();
    void setState(byte[] state);
}
```

Method `receive()` will be called when a message is received. The `getState()` and `setState()` methods are used to fetch and set the group state (e.g. when joining). Refer to Section 3.6.12 for a discussion of state transfer.

### 3.2.2. ExtendedMessageListener

JGroups release 2.3 introduced `ExtendedMessageListener` enabling partial state transfer (refer to Section 3.6.14 ) while release 2.4 further expands `ExtendedMessageListener` with streaming state transfer callbacks:

```
public interface ExtendedMessageListener extends MessageListener {
    byte[] getState(String state_id);
    void setState(String state_id, byte[] state);

    /** since JGroups 2.4 *****/
    void getState(OutputStream ostream);
    void getState(String state_id, OutputStream ostream);
    void setState(InputStream istream);
    void setState(String state_id, InputStream istream);
}
```

### 3.2.3. MembershipListener

The `MembershipListener` interface is similar to the `MessageListener` interface above: every time a new view, a suspicion message, or a block event is received, the corresponding method of the class implementing `MembershipListener` will be called.

```
public interface MembershipListener {
    public void viewAccepted(View new_view);
    public void suspect(Object suspected_mbr);
    public void block();
}
```

Oftentimes the only method containing any functionality will be `viewAccepted()` which notifies the receiver that a new member has joined the group or that an existing member has left or crashed. The `suspect()` callback is invoked by JGroups whenever a member is suspected of having crashed, but not yet excluded<sup>1</sup>.

The `block()` method is called to notify the member that it will soon be blocked sending messages. This is done by the FLUSH protocol, for example to ensure that nobody is sending messages while a state transfer is in progress. When `block()` returns, any thread sending messages will be blocked, until FLUSH unblocks the thread again, e.g. after the state has been transferred successfully.

Therefore, `block()` can be used to send pending messages or complete some other work.

Note that `block()` should be brief, or else the entire FLUSH protocol is blocked.

### 3.2.4. ExtendedMembershipListener

<sup>1</sup>It could be that the member is suspected falsely, in which case the next view would still contain the suspected member (there is currently no `unsuspect()` method)

The `ExtendedMembershipListener` interface extends `MembershipListener`:

```
public interface ExtendedMembershipListener extends MembershipListener {
    public void unblock();
}
```

The `unblock()` method is called to notify the member that the FLUSH protocol has completed and the member can resume sending messages. If the member did not stop sending messages on `block()`, FLUSH simply blocked them and will resume, so no action is required from a member. Implementation of the `unblock()` callback is optional.

### 3.2.5. ChannelListener

```
public interface ChannelListener {
    void channelConnected(Channel channel);
    void channelDisconnected(Channel channel);
    void channelClosed(Channel channel);
    void channelShunned(); // deprecated in 2.8
    void channelReconnected(Address addr); // deprecated in 2.8
}
```

A class implementing `ChannelListener` can use the `Channel.setChannelListener()` method to register with a channel to obtain information about state changes in a channel. Whenever a channel is closed, disconnected or opened a callback will be invoked.

### 3.2.6. Receiver

```
public interface Receiver extends MessageListener, MembershipListener {
}
```

A Receiver can be used to receive messages and view changes in push-style; rather than having to pull these events from a channel, they will be dispatched to the receiver as soon as they have been received. This saves one thread (application thread, pulling messages from a channel, or the `PullPushAdapter` thread)

Note that `JChannel.receive()` has been deprecated and will be removed in 3.0. The preferred way of receiving messages is now via a Receiver callback (push style).

### 3.2.7. ExtendedReceiver

```
public interface ExtendedReceiver extends ExtendedMessageListener, MembershipListener {
}
```

This is a receiver who will be able to handle partial state transfer

### 3.2.8. ReceiverAdapter and ExtendedReceiverAdapter

These classes implement Receiver and ExtendedReceiver. When implementing a callback, one can simply extend ReceiverAdapter and overwrite receive() in order to not having to implement all callbacks of the interface.

#### Merging of Extended interfaces with their super interfaces

The Extended- interfaces (ExtendedMessageListener, ExtendedReceiver) will be merged with their parents in the 3.0 release of JGroups. The reason is that this will create an API backwards incompatibility, which we didn't want to introduce in the 2.x series.

## 3.3. Address

Each member of a group has an address, which uniquely identifies the member. The interface for such an address is Address, which requires concrete implementations to provide methods for comparison and sorting of addresses, and for determination whether the address is a multicast address. JGroups addresses have to implement the following interface:

```
public interface Address extends Externalizable, Comparable, Cloneable {
    boolean isMulticastAddress();
    int size();
}
```

*Please never use implementations of Address directly; Address should always be used as an opaque identifier of a cluster node !*

Actual implementations of addresses are often generated by the bottommost protocol layer (e.g. UDP or TCP). This allows for all possible sorts of addresses to be used with JGroups, e.g. ATM.

In JChannel, it is the IP address of the host on which the stack is running and the port on which the stack is receiving incoming messages; it is represented by the concrete class `org.jgroups.stack.IpAddress`. Instances of this class are only used *within* the JChannel protocol stack; *users of a channel see addresses (of any kind) only as Addresses*. Since an address uniquely identifies a channel, and therefore a group member, it can be used to send messages to that group member, e.g. in Messages (see next section).

In 2.8, the default implementation of Address was changed from `IpAddress` to `org.jgroups.util.UUID`.

## 3.4. Message

Data is sent between members in the form of messages ( `org.jgroups.Message` ). A message can be sent by a member to a *single member* , or to *all members* of the group of which the channel is an endpoint. The structure of a message is shown in Figure 3.1 .



**Figure 3.1. Structure of a message**

A message contains 5 fields:

#### Destination address

The address of the receiver. If `null`, the message will be sent to all current group members

#### Source address

The address of the sender. Can be left `null`, and will be filled in by the transport protocol (e.g. UDP) before the message is put on the network

#### Flags

This is one byte used for flags. The currently recognized flags are OOB, LOW\_PRIO and HIGH\_PRIO. See the discussion on the concurrent stack for OOB.

#### Payload

The actual data (as a byte buffer). The Message class contains convenience methods to set a serializable object and to retrieve it again, using serialization to convert the object to/from a byte buffer.

#### Headers

A list of headers that can be attached to a message. Anything that should not be in the payload can be attached to a message as a header. Methods `putHeader()`, `getHeader()` and `removeHeader()` of Message can be used to manipulate headers.

A message is similar to an IP packet and consists of the payload (a byte buffer) and the addresses of the sender and receiver (as Addresses). Any message put on the network can be routed to its destination (receiver address), and replies can be returned to the sender's address.

A message usually does not need to fill in the sender's address when sending a message; this is done automatically by the protocol stack before a message is put on the network. However, there may be cases, when the sender of a message wants to give an address different from its own, so that for example, a response should be returned to some other member.

The destination address (receiver) can be an Address, denoting the address of a member, determined e.g. from a message received previously, or it can be `null`, which means that the message will be sent to all members of the group. A typical multicast message, sending string "Hello" to all members would look like this:

```
Message msg=new Message(null, null, "Hello");
channel.send(msg);
```

## 3.5. View

A `View (view)` is a list of the current members of a group. It consists of a `viewId`, which uniquely identifies the view (see below), and a list of members. Views are set in a channel automatically by the underlying protocol stack whenever a new member joins or an existing one leaves (or crashes). All members of a group see the same sequence of views.

Note that there is a comparison function which orders all the members of a group in the same way. Usually, the first member of the list is the *coordinator* (the one who emits new views). Thus, whenever the membership changes, every member can determine the coordinator easily and without having to contact other members.

The code below shows how to send a (unicast) message to the first member of a view (error checking code omitted):

```
View view=channel.getView();
Address first=view.getMembers().first();
Message msg=new Message(first, null, "Hello world");
channel.send(msg);
```

Whenever an application is notified that a new view has been installed (e.g. by `Receiver.viewAccepted()`), the view is already set in the channel. For example, calling `Channel.getView()` in a `viewAccepted()` callback would return the same view (or possibly the next one in case there has already been a new view !).

### 3.5.1. ViewId

The `ViewId` is used to uniquely number views. It consists of the address of the view creator and a sequence number. `ViewIds` can be compared for equality and put in a hashtable as they implement `equals()` and `hashCode()` methods.<sup>2</sup>

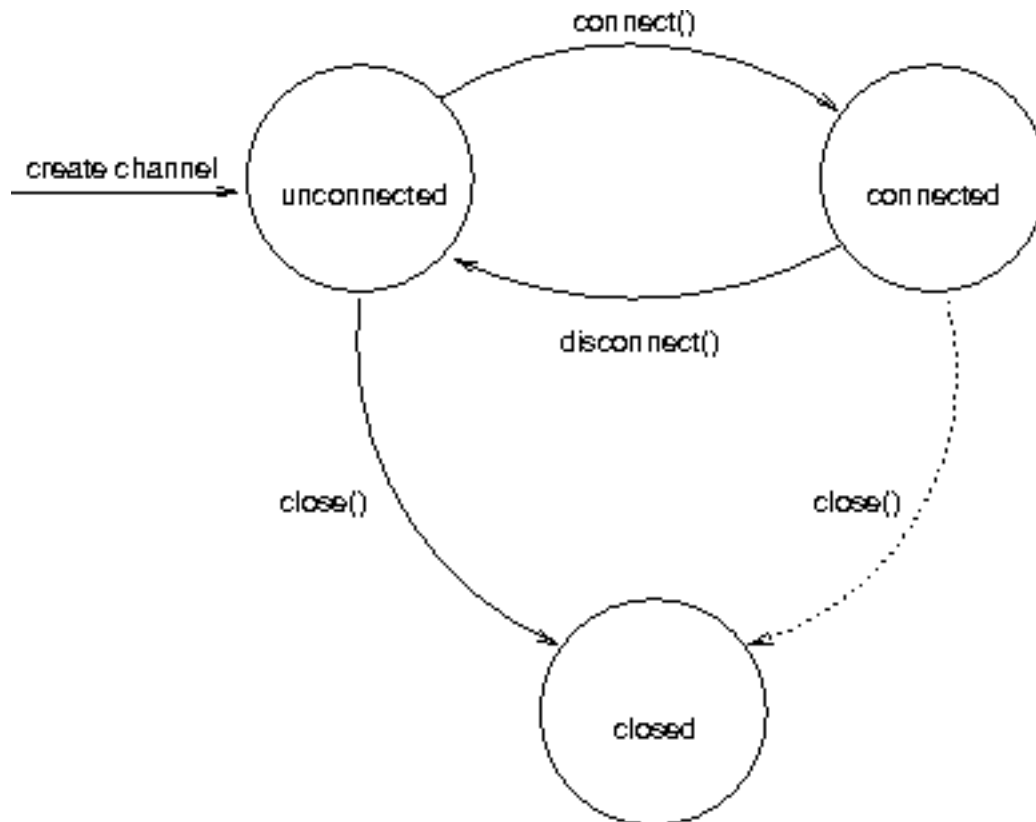
### 3.5.2. MergeView

Whenever a group splits into subgroups, e.g. due to a network partition, and later the subgroups merge back together, a `MergeView` instead of a `View` will be received by the application. The `MergeView` class is a subclass of `View` and contains as additional instance variable the list of views that were merged. As an example if the group denoted by view  $v1:(p,q,r,s,t)$  split into subgroups  $v2:(p,q,r)$  and  $v2:(s,t)$ , the merged view might be  $v3:(p,q,r,s,t)$ . In this case the `MergeView` would contain a list of 2 views:  $v2:(p,q,r)$  and  $v2:(s,t)$ .

## 3.6. JChannel

In order to join a group and send messages, a process has to create a channel. A channel is like a socket. When a client connects to a channel, it gives the the name of the group it would like to join. Thus, a channel is (in its connected state) always associated with a particular group. The protocol stack takes care that channels with the same group name find each other: whenever a client connects to a channel given group name `G`, then it tries to find existing channels with the same name, and joins them, resulting in a new view being installed (which contains the new member). If no members exist, a new group will be created.

A state transition diagram for the major states a channel can assume are shown in Figure 3.2 .



**Figure 3.2. Channel states**

When a channel is first created, it is in the unconnected state. An attempt to perform certain operations which are only valid in the connected state (e.g. send/receive messages) will result in an exception. After a successful connection by a client, it moves to the connected state. Now channels will receive messages, views and suspicions from other members and may send messages to other members or to the group. Getting the local address of a channel is guaranteed to be a valid operation in this state (see below). When the channel is disconnected, it moves back to the unconnected state. Both a connected and unconnected channel may be closed, which makes the channel unusable for further operations. Any attempt to do so will result in an exception. When a channel is closed directly from a connected state, it will first be disconnected, and then closed.

The methods available for creating and manipulating channels are discussed now.

### 3.6.1. Creating a channel

A channel can be created in two ways: an instance of a subclass of `Channel` is created directly using its public constructor (e.g. `new JChannel()`), or a channel factory is created, which -- upon request -- creates instances of channels. We will only look at the first method of creating channel: by direct instantiation.

The public constructor of `JChannel` looks as follows:

```
public JChannel(String props) throws ChannelException {}
```

It creates an instance of `JChannel`. The `props` argument points to an XML file containing the configuration of the protocol stack to be used. This can be a String, but there are also other constructors which take for example a DOM element or a URL (more on this later).

If the `props` argument is null, the default properties will be used. An exception will be thrown if the channel cannot be created. Possible causes include protocols that were specified in the property argument, but were not found, or wrong parameters to protocols.

For example, the Draw demo can be launched as follows:

```
java org.javagroups.demos.Draw -props file:/home/bela/udp.xml
```

or

```
java org.javagroups.demos.Draw -props http://www.jgroups.org/udp.xml
```

In the latter case, an application downloads its protocol stack specification from a server, which allows for central administration of application properties.

A sample XML configuration looks like this (edited from `udp.xml`):

```
<config>
  <UDP
    mcast_addr="{jgroups.udp.mcast_addr:228.10.10.10}"
    mcast_port="{jgroups.udp.mcast_port:45588}"
    discard_incompatible_packets="true"
    max_bundle_size="60000"
    max_bundle_timeout="30"
    ip_ttl="{jgroups.udp.ip_ttl:2}"
    enable_bundling="true"
    thread_pool.enabled="true"
    thread_pool.min_threads="1"
    thread_pool.max_threads="25"
    thread_pool.keep_alive_time="5000"
    thread_pool.queue_enabled="false"
    thread_pool.queue_max_size="100"
    thread_pool.rejection_policy="Run"
    oob_thread_pool.enabled="true"
    oob_thread_pool.min_threads="1"
    oob_thread_pool.max_threads="8"
    oob_thread_pool.keep_alive_time="5000"
    oob_thread_pool.queue_enabled="false"
    oob_thread_pool.queue_max_size="100"
    oob_thread_pool.rejection_policy="Run"/>
  <PING timeout="2000"
    num_initial_members="3"/>
  <MERGE2 max_interval="30000"
    min_interval="10000"/>
  <FD_SOCKET/>
  <FD timeout="10000" max_tries="5" />
  <VERIFY_SUSPECT timeout="1500" />
  <BARRIER />
  <pbcast.NAKACK
    use_mcast_xmit="false" gc_lag="0"
    retransmit_timeout="300,600,1200,2400,4800"
```

```

        discard_delivered_msgs="true"/>
    <UNICAST timeout="300,600,1200,2400,3600"/>
    <pbcast.STABLE stability_delay="1000" desired_avg_gossip="50000"
        max_bytes="400000"/>
    <VIEW_SYNC avg_send_interval="60000" />
    <pbcast.GMS print_local_addr="true" join_timeout="3000"
        view_bundling="true"/>
    <FC max_credits="20000000"
        min_threshold="0.10"/>
    <FRAG2 frag_size="60000" />
    <pbcast.STATE_TRANSFER />
</config>

```

A stack is wrapped by `<config>` and `</config>` elements and lists all protocols from bottom (UDP) to top (STATE\_TRANSFER). Each element defines one protocol.

Each protocol is implemented as a Java class. When a protocol stack is created based on the above XML configuration, the first element ("UDP") becomes the bottom-most layer, the second one will be placed on the first, etc: the stack is created from the bottom to the top.

Each element has to be the name of a Java class that resides in the `org.jgroups.stack.protocols` package. Note that only the base name has to be given, not the fully specified class name (UDP instead of `org.jgroups.stack.protocols.UDP`). If the protocol class is not found, JGroups assumes that the name given is a fully qualified classname and will therefore try to instantiate that class. If this does not work an exception is thrown. This allows for protocol classes to reside in different packages altogether, e.g. a valid protocol name could be `com.sun.eng.protocols.reliable.UCAST`.

Each layer may have zero or more arguments, which are specified as a list of name/value pairs in parentheses directly after the protocol name. In the example above, UDP is configured with some options, one of them being the IP multicast address (`mcast_addr`) which is set to `228.10.10.10`, or to the value of the system property `jgroups.udp.mcast_addr`, if set.

*Note that all members in a group have to have the same protocol stack.*

### 3.6.2. Setting options

A number of options can be set in a channel. To do so, the following method is used:

```
public void setOpt(int option, Object value);
```

Arguments are the options number and a value. The following options are currently recognized:

`Channel.BLOCK`

The argument is a boolean object. If true, block messages will be received.

`Channel.LOCAL`

Local delivery. The argument is a boolean value. If set to true, a member will receive all messages it sent to itself. Otherwise, all messages sent by itself will be discarded. This option allows to send messages to the group, without receiving a copy. Default is true (members will receive their own copy of messages multicast to the

group).

Channel.AUTO\_RECONNECT

When set to true, a shunned channel will leave the group and then try to automatically re-join. Default is false. Note that in 2.8, shunning has been removed, therefore this option has been deprecated.

Channel.AUTO\_GETSTATE

When set to true a shunned channel, after reconnection, will attempt to fetch the state from the coordinator. This requires AUTO\_RECONNECT to be true as well. Default is false. Note that in 2.8, shunning has been removed, therefore this option has been deprecated.

The equivalent method to get options is `getOpt()` :

```
public Object getOpt(int option);
```

Given an option, the current value of the option is returned.

## Deprecating options in 3.0

Most of the options (except LOCAL) have been deprecated in 2.6.x and will be removed in 3.0.

### 3.6.3. Giving the channel a logical name

A channel can be given a logical name which is then used instead of the channel's address. A logical name might show the function of a channel, e.g. "HostA-HTTP-Cluster", which is more legible than a UUID 3c7e52ea-4087-1859-e0a9-77a0d2f69f29.

For example, when we have 3 channels, using logical names we might see a view "{A,B,C}", which is nicer than "{56f3f99e-2fc0-8282-9eb0-866f542ae437, ee0be4af-0b45-8ed6-3f6e-92548bfa5cde, 9241a071-10ce-a931-f675-ff2e3240e1ad} !"

If no logical name is set, JGroups generates one, using the hostname and a random number, e.g. linux-3442. If this is not desired and the UUIDs should be shown, use system property `-Djgroups.print_uuids=true`.

The logical name can be set using:

```
public void setName(String logical_name);
```

This should be done before connecting a channel. Note that the logical name stays with a channel until the channel is destroyed, whereas a UUID is created on each connection.

When JGroups starts, it prints the logical name and the associated physical address(es):

```
-----
GMS: address=mac-53465, cluster=DrawGroupDemo, physical address=192.168.1.3:49932
-----
** View=[mac-53465|0] [mac-53465]
```

The logical name is mac-53465 and the physical address is 192.168.1.3:49932. The UUID is not shown here.

### 3.6.4. Connecting to a channel

When a client wants to join a group, it *connects* to a channel giving the name of the group to be joined:

```
public void connect(String clustername) throws ChannelClosed;
```

The cluster name is a string, naming the cluster to be joined. All channels that are connected to the same name form a cluster. Messages multicast on any channel in the cluster will be received by all members (including the one who sent it<sup>3</sup>).

The method returns as soon as the group has been joined successfully. If the channel is in the closed state (see Figure 3.2), an exception will be thrown. If there are no other members, i.e. no other member has connected to a group with this name, then a new group is created and the member joined. The first member of a group becomes its *coordinator*. A coordinator is in charge of multicasting new views whenever the membership changes<sup>4</sup>.

### 3.6.5. Connecting to a channel and getting the state in one operation

Clients can also join a cluster group and fetch cluster state in one operation. The best way to conceptualize connect and fetch state connect method is to think of it as an invocation of regular connect and getstate methods executed in succession. However, there are several advantages of using connect and fetch state connect method over regular connect. First of all, underlying message exchange is heavily optimized, especially if the flush protocol is used in the stack. But more importantly, from clients perspective, connect and join operations become one atomic operation.

```
public void connect(string cluster_name, address target, string state_id, long timeout)
```

Just as in regular connect method cluster name represents a cluster to be joined. Address parameter indicates a cluster member to fetch state from. Null address parameter indicates that state should be fetched from the cluster coordinator. If state should be fetched from a particular member other than coordinator clients can provide an address of that member. State id used for partial state transfer while timeout bounds entire join and fetch operation.

### 3.6.6. Getting the local address and the group name

Method `getLocalAddress()` returns the local address of the channel<sup>5</sup>. In the case of `JChannel`, the local address is generated by the bottom-most layer of the protocol stack when the stack is connected to. That means that -- depending on the channel implementation -- the local address may or may not be available when a channel is in the unconnected state.

```
public Address getLocalAddress(); // use getAddress() with 2.8.0 and higher
```

<sup>3</sup>Local delivery can be turned on/off using `setOpt()`.

<sup>4</sup>This is managed internally however, and an application programmer does not need to be concerned about it.

Method `getClusterName()` returns the name of the cluster in which the channel is a member:

```
public String getClusterName();
```

Again, the result is undefined if the channel is in the unconnected or closed state.

### 3.6.7. Getting the current view

The following method can be used to get the current view of a channel:

```
public View getView();
```

This method does *not* retrieve a new view (message) from the channel, but only returns the current view of the channel. The current view is updated every time a view message is received: when method `receive()` is called, and the return value is a view, before the view is returned, it will be installed in the channel, i.e. it will become the current view.

Calling this method on an unconnected or closed channel is implementation defined. A channel may return null, or it may return the last view it knew of.

### 3.6.8. Sending a message

Once the channel is connected, messages can be sent using the `send()` methods:

```
public void send(Message msg) throws ChannelNotConnected, ChannelClosed;
public void send(Address dst, Address src, Object obj) throws ChannelNotConnected, ChannelClosed;
```

The first `send()` method has only one argument, which is the message to be sent. The message's destination should either be the address of the receiver (unicast) or null (multicast). When it is null, the message will be sent to all members of the group (including itself). The source address may be null; if it is, it will be set to the channel's address (so that recipients may generate a response and send it back to the sender).

The second `send()` method is a helper method and uses the former method internally. It requires the address of receiver and sender and an object (which has to be serializable), constructs a `Message` and sends it.

If the channel is not connected, or was closed, an exception will be thrown upon attempting to send a message.

Here's an example of sending a (multicast) message to all members of a group:

```
Map data; // any serializable data
try {
    channel.send(null, null, data);
}
catch(Exception ex) {
    // handle errors
}
```

The null value as destination address means that the message will be sent to all members in the group. The sender's address will be filled in by the bottom-most protocol. The payload is a hashmap, which will be serialized into the message's buffer and unserialized at the receiver's end. Alternatively, any other means of generating a byte buffer and setting the message's buffer to it (e.g. using `Message.setBuffer()`) would also work.

Here's an example of sending a (unicast) message to the first member (coordinator) of a group:

```
HashMap data;
try {
    Address receiver=channel.getView().getMembers().first();
    channel.send(receiver, null, data);
}
catch(Exception ex) {
    // handle errors
}
```

It creates a `Message` with a specific address for the receiver (the first member of the group). Again, the sender's address can be left null as it will be filled in by the bottom-most protocol.

### 3.6.9. Receiving a message

Method `receive()` is used to receive messages, views, suspicions and blocks:

```
public Object receive(long timeout) throws ChannelNotConnected, ChannelClosed, Timeout;
```

A channel receives messages asynchronously from the network and stores them in a queue. When `receive()` is called, the next available message from the top of that queue is removed and returned. When there are no messages on the queue, the method will block. If `timeout` is greater than 0, it will wait the specified number of milliseconds for a message to be received, and throw a `TimeoutException` exception if none was received during that time. If the timeout is 0 or negative, the method will wait indefinitely for the next available message.

Depending on the channel options (see Section 3.6.2), the following types of objects may be received:

#### Message

A regular message. To send a response to the sender, a new message can be created. Its destination address would be the received message's source address. Method `Message.makeReply()` is a helper method to create a response.

#### View

A view change, signalling that a member has joined, left or crashed. The application may or may not perform some action upon receiving a view change (e.g. updating a GUI object of the membership, or redistributing a load-balanced collaborative task to all members). Note that a longer action, or any action that blocks should be performed in a separate thread. A `MergeView` will be received when 2 or more subgroups merged into one (see Section 3.5.2 for details). Here, a possible state merge by the application needs to be done in a separate thread.

### SuspectEvent

Notification of a member that is suspected. Method `SuspectEvent.getMember()` retrieves the address of the suspected member. Usually this message will be followed by a view change.

### BlockEvent

The application has to stop sending messages. When the application has stopped sending messages, it needs to acknowledge this message with a `Channel.blockOk()` method.

The `BlockEvent` reception can be used to complete pending tasks, e.g. send pending messages, but once `Channel.blockOk()` has been called, all threads that send messages (calling `Channel.send()` or `Channel.down()`) will be blocked until `FLUSH` unblocks them.

### UnblockEvent

The application can resume sending messages. Any previously messages blocked by `FLUSH` will be unblocked; when the `UnblockEvent` is received the channel has already been unblocked.

### GetStateEvent

Received when the application's current state should be saved (for a later state transfer. A *copy* of the current state should be made (possibly wrapped in a `synchronized` statement and returned calling method `Channel.returnState()` . If state transfer events are not enabled on the channel (default), then this event will never be received. This message will only be received with the Virtual Synchrony suite of protocols (see the Programmer's Guide).

### StreamingGetStateEvent

Received when the application's current state should be provided to a state requesting group member. If state transfer events are not enabled on the channel (default), or if channel is not configured with `pbcast.STREAMING_STATE_TRANSFER` then this event will never be received.

### SetStateEvent

Received as response to a `getState(s)` method call. The argument contains the state of a single member ( `byte[]` ) or of all members ( `Vector` ). Since the state of a single member could also be a vector, the interpretation of the argument is left to the application.

### StreamingSetStateEvent

Received at state requesting member when the state `InputStream` becomes ready for reading. If state transfer events are not enabled on the channel (default), or if channel is not configured with `pbcast.STREAMING_STATE_TRANSFER` then this event will never be received.

The caller has to check the type of the object returned. This can be done using the `instanceof` operator, as follows:

```
Object obj=channel.receive(0); // wait forever
if(obj instanceof Message)
    Message msg=(Message)obj;
else if(obj instanceof View)
    View v=(View)obj;
else
    ; // don't handle suspicions or blocks
```

If for example views, suspicions and blocks are disabled, then the caller is guaranteed to only receive return values of type `Message` . In this case, the return value can be cast to a `Message` directly, without using the `instanceof` op-

erator.

If the channel is not connected, or was closed, a corresponding exception will be thrown.

The example below shows how to retrieve the "Hello world" string from a message:

```
Message msg; // received above
try {
    String s=(String)msg.getObject(); // error if object not Serializable
    // alternative: s=new String(msg.getBuffer());
}
catch(Exception ex) {
    // handle errors, e.g. casting error above
}
```

The `Message.getObject()` method retrieves the message's byte buffer, converts it into a (serializable) object and returns the object.

### 3.6.10. Using a Receiver to receive messages

Instead of pulling messages from a channel in an application thread, a Receiver can be registered with a channel. This is the preferred and recommended way of receiving messages. In 3.0, the `receive()` method will be removed from `JChannel`. All received messages, view changes and state transfer requests will invoke callbacks on the registered Receiver:

```
JChannel ch=new JChannel();
ch.setReceiver(new ExtendedReceiverAdapter() {
    public void receive(Message msg) {
        System.out.println("received message " + msg);
    }
    public void viewAccepted(View new_view) {
        System.out.println("received view " + new_view);
    }
});
ch.connect("bla");
```

The `ExtendedReceiverAdapter` class implements all callbacks of `ExtendedReceiver` with no-ops, in the example above we override `receive()` and `viewAccepted()`.

The advantage of using a Receiver is that the application doesn't have to waste 1 thread for pulling messages out of a channel. In addition, the channel doesn't have to maintain an (unbounded) queue of messages/views, which can quickly get large if the receiver cannot process messages fast enough, and the sender keeps sending messages.

#### Note

Note that the `Channel.receive()` method has been deprecated, and will be removed in 3.0. Use the Receiver interface instead and register as a Receiver with `Channel.setReceiver(Receiver r)`.

### 3.6.11. Peeking at a message

Instead of removing the next available message from the channel, `peek()` just returns a reference to the next mes-

sage, but does not remove it. This is useful when one has to check the type of the next message, e.g. whether it is a regular message, or a view change. The signature of this method is not shown here, it is the same as for `receive()`.

## Note

The `peek()` method has also been deprecated, and will be removed in 3.0.

### 3.6.12. Getting the group's state

A newly joined member may wish to retrieve the state of the group before starting work. This is done with `getState()`. This method returns the state of one member (in most cases, of the oldest member, the coordinator). It returns true or false, depending on whether a valid state could be retrieved. For example, if a member is a singleton, then calling this method would always return false<sup>6</sup>.

The actual state is returned as the return value of one of the subsequent `receive()` calls, in the form of a `SetStateEvent` object. If `getState()` returned true, then a valid state (non-null) will be returned, otherwise a null state will be returned. Alternatively if an application uses `MembershipListener` (see Section 3.2.3) instead of pulling messages from a channel, the `getState()` method will be invoked and a copy of the current state should be returned. By the same token, setting a state would be accomplished by JGroups calling the `setState()` method of the state fetcher.

The reason for not directly returning the state as a result of `getState()` is that the state has to be returned in the correct position relative to other messages. Returning it directly would violate the FIFO properties of a channel, and state transfer would not be correct.

The following code fragment shows how a group member participates in state transfers:

```
channel=new JChannel();
channel.connect("TestChannel");
boolean rc=channel.getState(null, 5000);

...

Object state, copy;
Object ret=channel.receive(0);
if(ret instanceof Message)
    ;
else if(ret instanceof GetStateEvent) {
    copy=copyState(state); // make a copy so that other msgs don't change the state
    channel.returnState(Util.objectToByteBuffer(copy));
}
else if(ret instanceof SetStateEvent) {
    SetStateEvent e=(SetStateEvent)ret;
    state=e.getArg();
}
```

A `JChannel` has to be created whose stack includes the `STATE_TRANSFER` or `pbcast.STATE_TRANSFER` protocols (see Chapter 5). Method `getState()` subsequently asks the channel to return the current state. If there is a current state (there may not be any other members in the group!), then true is returned. In this case, one of the subsequent `receive()` method invocations on the channel will return a `SetStateEvent` object which contains the current state. In this case, the caller sets its state to the one received from the channel.

<sup>6</sup>A member will *never* retrieve the state from itself!

Method `receive()` might return a `GetStateEvent` object, requesting the state of the member to be returned. In this case, *a copy of the current state should be made* and returned using `JChannel.returnState()`. It is important to a) synchronize access to the state when returning it since other accesses may modify it while it is being returned and b) make a copy of the state since other accesses after returning the state may still be able to modify it ! This is possible because the state is not immediately returned, but travels down the stack (in the same address space), and a reference to it could still alter it.

### 3.6.13. Getting the state with a Receiver

As an alternative to handling the `GetStateEvent` and `SetStateEvent` events, and calling `Channel.returnState()`, a Receiver could be used. The example above would look like this:

```
class MyReceiver extends ReceiverAdapter {
    final Map m=new HashMap();
    public byte[] getState() {
        synchronized(m) { // so nobody else can modify the map while we serialize it
            byte[] state=Util.objectToByteBuffer(m);
            return state;
        }
    }

    public void setState(byte[] state) {
        synchronized(m) {
            Map new_m=(Map)Util.objectFromByteBuffer(state);
            m.clear();
            m.addAll(new_m);
        }
    }
}

channel=new JChannel(); // use default properties (has to include pbcast.STATE_TRANSFER protocol)
channel.setReceiver(new MyReceiver());
channel.connect("TestChannel");
boolean rc=channel.getState(null, 5000);
```

In a group consisting of A,B and C, with D joining the group and calling `Channel.getState()`, the following sequence of callbacks happens:

- D calls `Channel.getState()`. The state will be retrieved from the oldest member, A
- `A.MyReceiver.getState()` is called. A returns a copy of its hashmap
- D: `getState()` returns true
- `D.MyReceiver.setState()` is called with the serialized state. D unserializes the state and sets it

### 3.6.14. Partial state transfer

Partial state transfer means that instead of transferring the entire state, we may want to transfer only a *substate*. For example, with HTTP session replication, a new node in a cluster may want to transfer only the state of a specific session, not *all* HTTP sessions. This can be done with either the pull or push model. The method to call would be `Channel.getState()`, including the ID of the substate (a string). In the pull model, `GetStateEvent` and `SetStateEvent`

have an additional member, `state_id`, and in the push model, there are 2 additional `getState()` and `setState()` call-backs. The example below shows partial state transfer for the push model:

```

class MyReceiver extends ExtendedReceiverAdapter {
    final Map m=new HashMap();

    public byte[] getState() {
        return getState(null);
    }

    public byte[] getState(String substate_id) {
        synchronized(m) { // so nobody else can modify the map while we serialize it
            byte[] state=null;
            if(substate_id == null) {
                state=Util.objectToByteBuffer(m);
            }
            else {
                Object value=m.get(substate_id);
                if(value != null) {
                    return Util.objectToByteBuffer(value);
                }
            }
            return state;
        }
    }

    public void setState(byte[] state) {
        setState(null, state);
    }

    public void setState(String substate_id, byte[] state) {
        synchronized(m) {
            if(substate_id != null) {
                Object value=Util.objectFromByteBuffer(state);
                m.put(substate_id, value);
            }
            else {
                Map new_m=(Map)Util.objectFromByteBuffer(state);
                m.clear();
                m.addAll(new_m);
            }
        }
    }
}

channel=new JChannel(); // use default properties (has to include pbcast.STATE_TRANSFER p
channel.setReceiver(new MyReceiver());
channel.connect("TestChannel");
boolean rc=channel.getState(null, "MyID", 5000);

```

The example shows that the `Channel.getState()` method specifies the ID of the substate, in this case "MyID". The `getState(String substate_id)` method checks whether the substate ID is not null, and returns the substate pertaining to the ID, or the entire state if the `substate_id` is null. The same goes for setting the substate: if `setState(String substate_id, byte[] state)` has a non-null `substate_id`, only that part of the current state will be overwritten, otherwise (if null) the entire state will be overwritten.

### 3.6.15. Streaming state transfer

Streaming state transfer allows transfer of application (partial) state without having to load entire state into memory

prior to sending it to a joining member. Streaming state transfer is especially useful if the state is very large (>1Gb), and use of regular state transfer would likely result in `OutOfMemoryException`. Streaming state transfer was introduced in JGroups 2.4. JGroups channel has to be configured with either regular or streaming state transfer. The `JChannel` API that invokes state transfer (i.e. `JChannel.getState(long timeout, Address member)`) remains the same.

Streaming state transfer, just as regular byte based state transfer, can be used in both pull and push mode. Similarly to the current `getState` and `setState` methods of `org.jgroups.MessageListener`, the application interested in streaming state transfer in a push mode would implement streaming `getState` method(s) by sending/writing state through a provided `OutputStream` reference and `setState` method(s) by receiving/reading state through a provided `InputStream` reference. In order to use streaming state transfer in a push mode, existing `ExtendedMessageListener` has been expanded to include additional four methods:

```
public interface ExtendedMessageListener {

    /*non-streaming callback methods omitted for clarity*/

    void getState(OutputStream ostream);
    void getState(String state_id, OutputStream ostream);
    void setState(InputStream istream);
    void setState(String state_id, InputStream istream);

}
```

For a pull mode (when application uses `channel.receive()` to fetch events) two new event classes will be introduced:

- `StreamingGetStateEvent`
- `StreamingSetStateEvent`

These two events/classes are very similar to existing `GetStateEvent` and `SetStateEvent` but introduce a new field; `StreamingGetStateEvent` has an `OutputStream` and `StreamingSetStateEvent` has an `InputStream`.

The following code snippet demonstrates how to pull events from a channel, processing `StreamingGetStateEvent` and sending hypothetical state through a provided `OutputStream` reference. Handling of `StreamingSetStateEvent` is analogous to this example:

```
...
Object obj=channel.receive(0);
if(obj instanceof StreamingGetStateEvent) {
    StreamingGetStateEvent evt=(StreamingGetStateEvent)obj;
    OutputStream oos = null;
    try {
        oos = new ObjectOutputStream(evt.getArg());
        oos.writeObject(state);
        oos.flush();
    }
    catch (Exception e) {}
    finally {
        try {
            oos.close();
        }
        catch (IOException e) {
            System.err.println(e);
        }
    }
}
```

```
}  
...
```

JGroups has a great flexibility with state transfer methodology by allowing application developers to implement both byte based and streaming based state transfers. Application can, for example, implement streaming and byte based state transfer callbacks and then interchange state transfer protocol in channel configuration to use either streaming or byte based state transfer. However, one cannot configure a channel with both state transfers at the same time and then in runtime choose which particular state transfer type to use.

### 3.6.16. Disconnecting from a channel

Disconnecting from a channel is done using the following method:

```
public void disconnect();
```

It will have no effect if the channel is already in the disconnected or closed state. If connected, it will remove itself from the group membership. This is done (transparently for a channel user) by sending a leave request to the current coordinator. The latter will subsequently remove the channel's address from its local view and send the new view to all remaining members.

After a successful disconnect, the channel will be in the unconnected state, and may subsequently be re-connected to.

### 3.6.17. Closing a channel

To destroy a channel instance (destroy the associated protocol stack, and release all resources), method `close()` is used:

```
public void close();
```

It moves the channel to the closed state, in which no further operations are allowed (most throw an exception when invoked on a closed channel). In this state, a channel instance is not considered used any longer by an application and -- when the reference to the instance is reset -- the channel essentially only lingers around until it is garbage collected by the Java runtime system.

---

# 4

## Building Blocks

Building blocks are layered on top of channels. Most of them do not even need a channel, all they need is a class that implements interface `Transport` (channels do). This enables them to work on any type of group transport that implements this interface. Building blocks can be used instead of channels whenever a higher-level interface is required.

Whereas channels are simple socket-like constructs, building blocks may offer a far more sophisticated interface. In some cases, building blocks offer access to the underlying channel, so that -- if the building block at hand does not offer a certain functionality -- the channel can be accessed directly. Building blocks are located in the `org.jgroups.blocks` package. Only the ones that are relevant for application programmers are discussed below.

### 4.1. PullPushAdapter

*Note that this building block has been deprecated and should not be used anymore ! Use a Receiver instead.*

This class is a converter (or adapter, as used in [Gamma:1995]) between the pull-style of actively receiving messages from the channel and the push-style where clients register a callback which is invoked whenever a message has been received. Clients of a channel do not have to allocate a separate thread for message reception.

A `PullPushAdapter` is always created on top of a class that implements interface `Transport` (e.g. a channel). Clients interested in being called when a message is received can register with the `PullPushAdapter` using method `setListener()`. They have to implement interface `MessageListener`, whose `receive()` method will be called when a message arrives. When a client is interested in getting view, suspicion messages and blocks, then it must additionally register as a `MembershipListener` using method `setMembershipListener()`. Whenever a view, suspicion or block is received, the corresponding method will be called.

Upon creation, an instance of `PullPushAdapter` creates a thread which constantly calls the `receive()` method of the underlying `Transport` instance, blocking until a message is available. When a message is received, if there is a registered message listener, its `receive()` method will be called.

As this class does not implement interface `Transport`, but merely uses it for receiving messages, an underlying object has to be used to send messages (e.g. the channel on top of which an object of this class resides). This is shown in Figure 4.1.

#### Figure 4.1. Class `PullPushAdapter`

As is shown, the thread constantly pulls messages from the channel and forwards them to the registered listeners. An application thus does not have to actively pull for messages, but the `PullPushAdapter` does this for it. Note however, that the application has to *directly* access the channel if it wants to *send* a message.

### 4.1.1. Example

This section shows sample code for using a `PullPushAdapter`. The example has been shortened for readability (error handling has been removed).

```
public class PullPushTest implements MessageListener {
    Channel          channel;
    PullPushAdapter adapter;
    byte[]          data="Hello world".getBytes();
    String           props; // fetch properties

    public void receive(Message msg) {
        System.out.println("Received msg: " + msg);
    }

    public void start() throws Exception {
        channel=new JChannel(props);
        channel.connect("PullPushTest");
        adapter=new PullPushAdapter(channel);
        adapter.setListener(this);

        for(int i=0; i < 10; i++) {
            System.out.println("Sending msg #" + i);
            channel.send(new Message(null, null, data));
            Thread.currentThread().sleep(1000);
        }
        adapter.stop();
        channel.close();
    }

    public static void main(String args[]) {
        try {
            new PullPushTest().start();
        }
        catch(Exception e) { /* error */ }
    }
}
```

First a channel is created and connected to. Then an instance of `PullPushAdapter` is created with the channel as argument. The constructor of `PullPushAdapter` starts its own thread which continually reads on the channel. Then the `MessageListener` is set, which causes all messages received on the channel to be sent to `receive()`. Then a number of messages are sent via the channel to the entire group. As group messages are also received by the sender, the `receive()` method will be called every time a message is received. Finally the `PullPushAdapter` is stopped and the channel closed. Note that explicitly stopping the `PullPushAdapter` is not actually necessary, a closing the channel would cause the `PullPushAdapter` to terminate anyway.

Note that, compared to the pull-style example, push-style message reception is considerably easier (no separate thread management) and requires less code to program.

#### Note

The `PullPushAdapter` has been deprecated, and will be removed in 3.0. Use a `Receiver` implementation instead. The advantage of the `Receiver`-based (push) model is that we save 1 thread.

## 4.2. MessageDispatcher

Channels are simple patterns to *asynchronously* send and receive messages. However, a significant number of communication patterns in group communication require *synchronous communication*. For example, a sender would like to send a message to the group and wait for all responses. Or another application would like to send a message to the group and wait only until the majority of the receivers have sent a response, or until a timeout occurred.

`MessageDispatcher` offers a combination of the above pattern with other patterns. It provides synchronous (as well as asynchronous) message sending with request-response correlation, e.g. matching responses with the original request. It also offers push-style message reception (by internally using the `PullPushAdapter`).

An instance of `MessageDispatcher` is created with a channel as argument. It can now be used in both *client and server role*: a client sends requests and receives responses and a server receives requests and send responses. `MessageDispatcher` allows an application to be both at the same time. To be able to serve requests, the `RequestHandler.handle()` method has to be implemented:

```
Object handle(Message msg);
```

The `handle()` method is called any time a request is received. It must return a return value (must be serializable, but can be null) or throw an exception. The return value will be returned to the sender (as a null response, see below). The exception will also be propagated to the requester.

The two methods to send requests are:

```
public RspList castMessage(Vector dests, Message msg, int mode, long timeout);
public Object sendMessage(Message msg, int mode, long timeout)
    throws TimeoutException;
```

The `castMessage()` method sends a message to all members defined in `dests`. If `dests` is null the message will be sent to all members of the current group. Note that a possible destination set in the message will be overridden. If a message is sent synchronously then the `timeout` argument defines the maximum amount of time in milliseconds to wait for the responses.

The `mode` parameter defines whether the message will be sent synchronously or asynchronously. The following values are valid (from `org.jgroups.blocks.GroupRequest`):

#### GET\_FIRST

Returns the first response received.

#### GET\_ALL

Waits for all responses (minus the ones from suspected members)

#### GET\_MAJORITY

Waits for a majority of all responses (relative to the group size)

#### GET\_ABS\_MAJORITY

Waits for the majority (absolute, computed once)

#### GET\_N

Wait for n responses (may block if n > group size)

## GET\_NONE

Wait for no responses, return immediately (non-blocking). This make the call asynchronous.

The `sendMessage()` method allows an application programmer to send a unicast message to a receiver and optionally receive the response. The destination of the message has to be non-null (valid address of a receiver). The `mode` argument is ignored (it is by default set to `GroupRequest.GET_FIRST`) unless it is set to `GET_NONE` in which case the request becomes asynchronous, ie. we will not wait for the response.

One advantage of using this building block is that failed members are removed from the set of expected responses. For example, when sending a message to 10 members and waiting for all responses, and 2 members crash before being able to send a response, the call will return with 8 valid responses and 2 marked as failed. The return value of `castMessage()` is a `RspList` which contains all responses (not all methods shown):

```
public class RspList implements Map<Address,Rsp> {
    public boolean isReceived(Address sender);
    public int     numSuspectedMembers();
    public Vector  getResults();
    public Vector  getSuspectedMembers();
    public boolean isSuspected(Address sender);
    public Object  get(Address sender);
    public int     size();
}
```

Method `isReceived()` checks whether a response from `sender` has already been received. Note that this is only true as long as no response has yet been received, and the member has not been marked as failed. `numSuspectedMembers()` returns the number of members that failed (e.g. crashed) during the wait for responses. `getResults()` returns a list of return values. `get()` returns the return value for a specific member.

### 4.2.1. Example

This section describes an example of how to use a `MessageDispatcher`.

```
public class MessageDispatcherTest implements RequestHandler {
    Channel          channel;
    MessageDispatcher disp;
    RspList          rsp_list;
    String           props; // to be set by application programmer

    public void start() throws Exception {
        channel=new JChannel(props);
        disp=new MessageDispatcher(channel, null, null, this);
        channel.connect("MessageDispatcherTestGroup");

        for(int i=0; i < 10; i++) {
            Util.sleep(100);
            System.out.println("Casting message #" + i);
            rsp_list=disp.castMessage(null,
                new Message(null, null, new String("Number #" + i)),
                GroupRequest.GET_ALL, 0);
            System.out.println("Responses:\n" +rsp_list);
        }
        channel.close();
        disp.stop();
    }
}
```

```

public Object handle(Message msg) {
    System.out.println("handle(): " + msg);
    return new String("Success !");
}

public static void main(String[] args) {
    try {
        new MessageDispatcherTest().start();
    }
    catch(Exception e) {
        System.err.println(e);
    }
}
}

```

The example starts with the creation of a channel. Next, an instance of `MessageDispatcher` is created on top of the channel. Then the channel is connected. The `MessageDispatcher` will from now on send requests, receive matching responses (client role) and receive requests and send responses (server role).

We then send 10 messages to the group and wait for all responses. The `timeout` argument is 0, which causes the call to block until all responses have been received.

The `handle()` method simply prints out a message and returns a string.

Finally both the `MessageDispatcher` and channel are closed.

### 4.3. RpcDispatcher

This class is derived from `MessageDispatcher`. It allows a programmer to invoke remote methods in all (or single) group members and optionally wait for the return value(s). An application will typically create a channel and layer the `RpcDispatcher` building block on top of it, which allows it to dispatch remote methods (client role) and at the same time be called by other members (server role).

Compared to `MessageDispatcher`, no `handle()` method needs to be implemented. Instead the methods to be called can be placed directly in the class using regular method definitions (see example below). The invoke remote method calls (unicast and multicast) the following methods are used (not all methods shown):

```

public RspList callRemoteMethods(Vector dests, String method_name, int mode, long timeout);
public RspList callRemoteMethods(Vector dests, String method_name, Object arg1, int mode, long timeout);
public Object callRemoteMethod(Address dest, String method_name, int mode, long timeout);
public Object callRemoteMethod(Address dest, String method_name, Object arg1, int mode, long timeout);

```

The family of `callRemoteMethods()` is invoked with a list of receiver addresses. If null, the method will be invoked in all group members (including the sender). Each call takes the name of the method to be invoked and the `mode` and `timeout` parameters, which are the same as for `MessageDispatcher`. Additionally, each method takes zero or more parameters: there are `callRemoteMethods()` methods with up to 3 arguments. As shown in the example above, the first 2 methods take zero and one parameters respectively.

The family of `callRemoteMethod()` methods takes almost the same parameters, except that there is only one destination address instead of a list. If the `dest` argument is null, the call will fail.

If a sender needs to use more than 3 arguments, it can use the generic versions of `callRemoteMethod()` and `callRemoteMethods()` which use a `MethodCall`<sup>7</sup> instance rather than explicit arguments.

Java's Reflection API is used to find the correct method in the receiver according to the method name and number and types of supplied arguments. There is a runtime exception if a method cannot be resolved.

(\* Update: these methods are deprecated; must use `MethodCall` argument now \*)

### 4.3.1. Example

The code below shows an example:

```
public class RpcDispatcherTest {
    Channel          channel;
    RpcDispatcher    disp;
    RspList          rsp_list;
    String           props; // set by application

    public int print(int number) throws Exception {
        return number * 2;
    }

    public void start() throws Exception {
        channel=new JChannel(props);
        disp=new RpcDispatcher(channel, null, null, this);
        channel.connect("RpcDispatcherTestGroup");

        for(int i=0; i < 10; i++) {
            Util.sleep(100);
            rsp_list=disp.callRemoteMethods(null, "print",
                new Integer(i), GroupRequest.GET_ALL, 0);
            System.out.println("Responses: " +rsp_list);
        }
        channel.close();
        disp.stop();
    }

    public static void main(String[] args) {
        try {
            new RpcDispatcherTest().start();
        }
        catch(Exception e) {
            System.err.println(e);
        }
    }
}
```

Class `RpcDispatcher` defines method `print()` which will be called subsequently. The entry point `start()` method creates a channel and an `RpcDispatcher` which is layered on top. Method `callRemoteMethods()` then invokes the remote `print()` method in all group members (also in the caller). When all responses have been received, the call returns and the responses are printed.

As can be seen, the `RpcDispatcher` building block reduces the amount of code that needs to be written to implement RPC-based group communication applications by providing a higher abstraction level between the application and the primitive channels.

<sup>7</sup>See the Programmer's Guide and the Javadoc documentation for more information about this class.

### 4.3.1.1. RequestOptions

RequestOptions is a collection of options that can be passed into a call, e.g. the mode (GET\_ALL, GET\_NONE), timeout, flags etc. It is an alternative to passing multiple arguments to a method.

All calls with individual parameters have been deprecated in 2.9 and the new calls with RequestOptions are:

```
public RspList callRemoteMethods(Collection<Address> dests, String method_name, Object[] args,
                                Class[] types, RequestOptions options);
public RspList callRemoteMethods(Collection<Address> dests, MethodCall method_call,
                                RequestOptions options);
public Object callRemoteMethod(Address dest, String method_name, Object[] args,
                               Class[] types, RequestOptions options);
public Object callRemoteMethod(Address dest, MethodCall call, RequestOptions options);
```

An example of how to use RequestOptions is:

```
RpcDispatcher disp;
RequestOptions opts=new RequestOptions(Request.GET_ALL).setFlags(Message.NO_FC | Message.DONT_BUN
Object val=disp.callRemoteMethod(target, method_call, opts);
```

### 4.3.1.2. Asynchronous calls with futures

When invoking a synchronous call, the calling thread is blocked until the response (or responses) has been received.

A *Future* allows a caller to return immediately and grab the result(s) later. In 2.9, two new methods, which return futures, have been added to RpcDispatcher:

```
public NotifyingFuture<RspList> callRemoteMethodsWithFuture(Collection<Address> dests,
                                                            MethodCall method_call, RequestOptions
public <T> NotifyingFuture<T> callRemoteMethodWithFuture(Address dest, MethodCall call,
                                                         RequestOptions options);
```

A NotifyingFuture extends java.util.concurrent.Future, with its regular methods such as isDone(), get() and cancel(). NotifyingFuture adds setListener<FutureListener> to get notified when the result is available. This is shown in the following code:

```
NotifyingFuture<RspList> future=dispatcher.callRemoteMethodsWithFuture(...);
future.setListener(new FutureListener() {
    void futureDone(Future<T> future) {
        System.out.println("result is " + future.get());
    }
});
```

## 4.4. ReplicatedHashMap

This class was written as a demo of how state can be shared between nodes of a cluster. It has never been heavily tested and is therefore not meant to be used in production, and unsupported.

A `ReplicatedHashMap` uses a concurrent hashmap internally and allows to create several instances of hashmaps in different processes. All of these instances have exactly the same state at all times. When creating such an instance, a group name determines which group of replicated hashmaps will be joined. The new instance will then query the state from existing members and update itself before starting to service requests. If there are no existing members, it will simply start with an empty state.

Modifications such as `put()`, `clear()` or `remove()` will be propagated in orderly fashion to all replicas. Read-only requests such as `get()` will only be sent to the local copy.

Since both keys and values of a hashtable will be sent across the network, both of them have to be serializable. This allows for example to register remote RMI objects with any local instance of a hashtable, which can subsequently be looked up by another process which can then invoke remote methods (remote RMI objects are serializable). Thus, a distributed naming and registration service can be built in just a couple of lines.

A `ReplicatedHashMap` allows to register for notifications, e.g. when a new item is set, or an existing one removed. All registered listeners will notified when such an event occurs. Notification is always local; for example in the case of removing an element, first the element is removed in all replicas, which then notify their listener(s) of the removal (after the fact).

`ReplicatedHashMap` allow members in a group to share common state across process and machine boundaries.

## 4.5. NotificationBus

This class provides notification sending and handling capability. Also, it allows an application programmer to maintain a local cache which is replicated by all instances. `NotificationBus` also sits on top of a channel, however it creates its channel itself, so the application programmers do not have to provide their own channel. Notification consumers can subscribe to receive notifications by calling `setConsumer()` and implementing interface `NotificationBus.Consumer`:

```
public interface Consumer {
    void        handleNotification(Serializable n);
    Serializable getCache();
    void        memberJoined(Address mbr);
    void        memberLeft(Address mbr);
}
```

Method `handleNotification()` is called whenever a notification is received from the channel. A notification is any object that is serializable. Method `getCache()` is called when someone wants to retrieve our state; the state can be returned as a serializable object. The `memberJoined()` and `memberLeft()` callbacks are invoked whenever a member joins or leaves (or crashes).

The most important methods of `NotificationBus` are:

```
public class NotificationBus {
    public void setConsumer(Consumer c);
    public void start() throws Exception;
    public void stop();
    public void sendNotification(Serializable n);
    public Serializable getCacheFromCoordinator(long timeout, int max_tries);
    public Serializable getCacheFromMember(Address mbr, long timeout, int max_tries);
}
```

Method `setConsumer()` allows a consumer to register itself for notifications.

The `start()` and `stop()` methods start and stop the `NotificationBus`.

Method `sendNotification()` sends the serializable object given as argument to all members of the group, invoking their `handleNotification()` methods on reception.

Methods `getCacheFromCoordinator()` and `getCacheFromMember()` provide functionality to fetch the group state from the coordinator (first member in membership list) or any other member (if its address is known). They take as arguments a timeout and a maximum number of unsuccessful attempts until they return null. Typically one of these methods would be called just after creating a new `NotificationBus` to acquire the group state. Note that if these methods are used, then the consumers must implement `Consumer.getCache()`, otherwise the two methods above would always return null.

---

# 5

## Advanced Concepts

This chapter discusses some of the more advanced concepts of JGroups with respect to using it and setting it up correctly.

### 5.1. Using multiple channels

When using a fully virtual synchronous protocol stack, the performance may not be great because of the larger number of protocols present. For certain applications, however, throughput is more important than ordering, e.g. for video/audio streams or airplane tracking. In the latter case, it is important that airplanes are handed over between control domains correctly, but if there are a (small) number of radar tracking messages (which determine the exact location of the plane) missing, it is not a problem. The first type of messages do not occur very often (typically a number of messages per hour), whereas the second type of messages would be sent at a rate of 10-30 messages/second. The same applies for a distributed whiteboard: messages that represent a video or audio stream have to be delivered as quick as possible, whereas messages that represent figures drawn on the whiteboard, or new participants joining the whiteboard have to be delivered according to a certain order.

The requirements for such applications can be solved by using two separate stacks: one for control messages such as group membership, floor control etc and the other one for data messages such as video/audio streams (actually one might consider using one channel for audio and one for video). The control channel might use virtual synchrony, which is relatively slow, but enforces ordering and retransmission, and the data channel might use a simple UDP channel, possibly including a fragmentation layer, but no retransmission layer (losing packets is preferred to costly retransmission).

The `Draw2Channels` demo program (in the `org.jgroups.demos` package) demonstrates how to use two different channels.

### 5.2. The shared transport: sharing a transport between multiple channels in a JVM

To save resources (threads, sockets and CPU cycles), transports of channels residing within the same JVM can be shared. If we have 4 channels inside of a JVM (as is the case in an application server such as JBoss), then we have 4 separate thread pools and sockets (1 per transport, and there are 4 transports (1 per channel)).

If those transport happen to be the same (all 4 channels use UDP, for example), then we can share them and only create 1 instance of UDP. That transport instance is created and started only once, when the first channel is created, and is deleted when the last channel is closed.

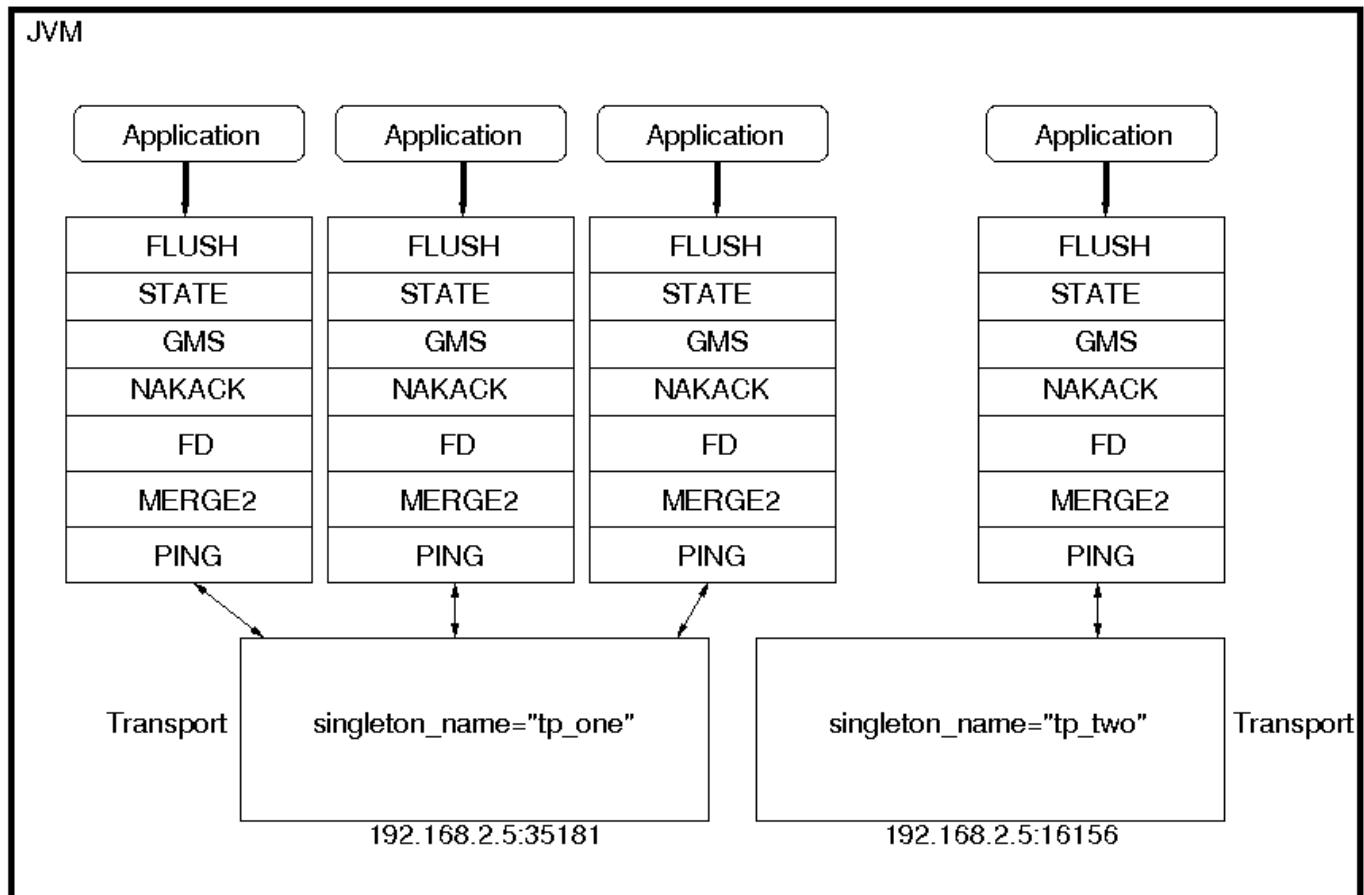
Each channel created over a shared transport has to join a different cluster. An exception will be thrown if a channel sharing a transport tries to connect to a cluster to which another channel over the same transport is already con-

nected.

When we have 3 channels (C1 connected to "cluster-1", C2 connected to "cluster-2" and C3 connected to "cluster-3") sending messages over the same shared transport, the cluster name with which the channel connected is used to multiplex messages over the shared transport: a header with the cluster name ("cluster-1") is added when C1 sends a message.

When a message with a header of "cluster-1" is received by the shared transport, it is used to demultiplex the message and dispatch it to the right channel (C1 in this example) for processing.

How channels can share a single transport is shown in Figure 5.1.



**Figure 5.1. A shared transport**

Here we see 4 channels which share 2 transports. Note that first 3 channels which share transport "tp\_one" have the same protocols on top of the shared transport. This is *not* required; the protocols above "tp\_one" could be different for each of the 3 channels as long as all applications residing on the same shared transport have the same requirements for the transport's configuration.

To use shared transports, all we need to do is to add a property "singleton\_name" to the transport configuration. All channels with the same singleton name will be shared.

## 5.3. Transport protocols

A *transport protocol* refers to the protocol at the bottom of the protocol stack which is responsible for sending and receiving messages to/from the network. There are a number of transport protocols in JGroups. They are discussed in the following sections.

A typical protocol stack configuration using UDP is:

```

<config>
  <UDP
    mcast_addr="${jgroups.udp.mcast_addr:228.10.10.10}"
    mcast_port="${jgroups.udp.mcast_port:45588}"
    discard_incompatible_packets="true"
    max_bundle_size="60000"
    max_bundle_timeout="30"
    ip_ttl="${jgroups.udp.ip_ttl:2}"
    enable_bundling="true"
    thread_pool.enabled="true"
    thread_pool.min_threads="1"
    thread_pool.max_threads="25"
    thread_pool.keep_alive_time="5000"
    thread_pool.queue_enabled="false"
    thread_pool.queue_max_size="100"
    thread_pool.rejection_policy="Run"
    oob_thread_pool.enabled="true"
    oob_thread_pool.min_threads="1"
    oob_thread_pool.max_threads="8"
    oob_thread_pool.keep_alive_time="5000"
    oob_thread_pool.queue_enabled="false"
    oob_thread_pool.queue_max_size="100"
    oob_thread_pool.rejection_policy="Run"/>
  <PING timeout="2000"
    num_initial_members="3"/>
  <MERGE2 max_interval="30000"
    min_interval="10000"/>
  <FD_SOCKET/>
  <FD timeout="10000" max_tries="5" shun="true"/>
  <VERIFY_SUSPECT timeout="1500" />
  <pbcast.NAKACK
    use_mcast_xmit="false" gc_lag="0"
    retransmit_timeout="300,600,1200,2400,4800"
    discard_delivered_msgs="true"/>
  <UNICAST timeout="300,600,1200,2400,3600"/>
  <pbcast.STABLE stability_delay="1000" desired_avg_gossip="50000"
    max_bytes="400000"/>
  <pbcast.GMS print_local_addr="true" join_timeout="3000"
    shun="false"
    view_bundling="true"/>
  <FC max_credits="20000000"
    min_threshold="0.10"/>
  <FRAG2 frag_size="60000" />
  <pbcast.STATE_TRANSFER />
</config>

```

In a nutshell the properties of the protocols are:

### UDP

This is the transport protocol. It uses IP multicasting to send messages to the entire cluster, or individual nodes.

Other transports include TCP, TCP\_NIO and TUNNEL.

#### PING

Uses IP multicast (by default) to find initial members. Once found, the current coordinator can be determined and a unicast JOIN request will be sent to it in order to join the cluster.

#### MERGE2

Will merge subgroups back into one group, kicks in after a cluster partition.

#### FD SOCK

Failure detection based on sockets (in a ring form between members). Generates notification if a member fails

#### FD

Failure detection based on heartbeats and are-you-alive messages (in a ring form between members). Generates notification if a member fails

#### VERIFY\_SUSPECT

Double-checks whether a suspected member is really dead, otherwise the suspicion generated from protocol below is discarded

#### pbcast.NAKACK

Ensures (a) message reliability and (b) FIFO. Message reliability guarantees that a message will be received. If not, the receiver(s) will request retransmission. FIFO guarantees that all messages from sender P will be received in the order P sent them

#### UNICAST

Same as NAKACK for unicast messages: messages from sender P will not be lost (retransmission if necessary) and will be in FIFO order (conceptually the same as TCP in TCP/IP)

#### pbcast.STABLE

Deletes messages that have been seen by all members (distributed message garbage collection)

#### pbcast.GMS

Membership protocol. Responsible for joining/leaving members and installing new views.

#### FRAG2

Fragments large messages into smaller ones and reassembles them back at the receiver side. For both multicast and unicast messages

#### STATE\_TRANSFER

Ensures that state is correctly transferred from an existing member (usually the coordinator) to a new member.

### 5.3.1. UDP

UDP uses IP multicast for sending messages to all members of a group and UDP datagrams for unicast messages (sent to a single member). When started, it opens a unicast and multicast socket: the unicast socket is used to send/receive unicast messages, whereas the multicast socket sends/receives multicast messages. The channel's address will be the address and port number of the *unicast* socket.

#### 5.3.1.1. Using UDP and plain IP multicasting

A protocol stack with UDP as transport protocol is typically used with groups whose members run on the same host or are distributed across a LAN. Before running such a stack a programmer has to ensure that IP multicast is enabled across subnets. It is often the case that IP multicast is not enabled across subnets. Refer to section Section 2.8 for running a test program that determines whether members can reach each other via IP multicast. If this does not work, the protocol stack cannot use UDP with IP multicast as transport. In this case, the stack has to either use UDP without IP multicasting or other transports such as TCP.

### 5.3.1.2. Using UDP without IP multicasting

The protocol stack with UDP and PING as the bottom protocols use IP multicasting by default to send messages to all members (UDP) and for discovery of the initial members (PING). However, if multicasting cannot be used, the UDP and PING protocols can be configured to send multiple unicast messages instead of one multicast message<sup>8</sup> (UDP) and to access a well-known server ( *GossipRouter* ) for initial membership information (PING).

To configure UDP to use multiple unicast messages to send a group message instead of using IP multicasting, the `ip_mcast` property has to be set to `false`.

To configure PING to access a *GossipRouter* instead of using IP multicast the following properties have to be set:

`gossip_host`

The name of the host on which *GossipRouter* is started

`gossip_port`

The port on which *GossipRouter* is listening

`gossip_refresh`

The number of milliseconds to wait until refreshing our address entry with the *GossipRouter*

Before any members are started the *GossipRouter* has to be started, e.g.

```
java org.jgroups.stack.GossipRouter -port 5555 -bindaddress localhost
```

This starts the *GossipRouter* on the local host on port 5555. The *GossipRouter* is essentially a lookup service for groups and members. It is a process that runs on a well-known host and port and accepts GET(group) and REGISTER(group, member) requests. The REGISTER request registers a member's address and group with the *GossipRouter*. The GET request retrieves all member addresses given a group name. Each member has to periodically ( `gossip_refresh` ) re-register their address with the *GossipRouter*, otherwise the entry for that member will be removed (accommodating for crashed members).

The following example shows how to disable the use of IP multicasting and use a *GossipRouter* instead. Only the bottom two protocols are shown, the rest of the stack is the same as in the previous example:

```
<UDP ip_mcast="false" mcast_addr="224.0.0.35" mcast_port="45566" ip_ttl="32"
    mcast_send_buf_size="150000" mcast_rcv_buf_size="80000"/>
<PING gossip_host="localhost" gossip_port="5555" gossip_refresh="15000"
    timeout="2000" num_initial_members="3"/>
```

<sup>8</sup>Although not as efficient (and using more bandwidth), it is sometimes the only possibility to reach group members.

The property `ip_mcast` is set to `false` in `UDP` and the gossip properties in `PING` define the GossipRouter to be on the local host at port 5555 with a refresh rate of 15 seconds. If `PING` is parameterized with the GossipRouter's address and port, then gossiping is enabled, otherwise it is disabled. If only one parameter is given, gossiping will be *disabled*.

Make sure to run the GossipRouter before starting any members, otherwise the members will not find each other and each member will form its own group<sup>9</sup>.

### 5.3.2. TCP

TCP is a replacement of UDP as bottom layer in cases where IP Multicast based on UDP is not desired. This may be the case when operating over a WAN, where routers will discard IP MCAST. As a rule of thumb UDP is used as transport for LANs, whereas TCP is used for WANs.

The properties for a typical stack based on TCP might look like this (edited/protocols removed for brevity):

```
<TCP start_port="7800" />
<TCPPING timeout="3000"
  initial_hosts="{jgroups.tcpping.initial_hosts:localhost[7800],localhost[7801]}"
  port_range="1"
  num_initial_members="3" />
<VERIFY_SUSPECT timeout="1500" />
<pbcast.NAKACK
  use_mcast_xmit="false" gc_lag="0"
  retransmit_timeout="300,600,1200,2400,4800"
  discard_delivered_msgs="true" />
<pbcast.STABLE stability_delay="1000" desired_avg_gossip="50000"
  max_bytes="400000" />
<pbcast.GMS print_local_addr="true" join_timeout="3000"
  shun="true"
  view_bundling="true" />
```

#### TCP

The transport protocol, uses TCP (from TCP/IP) to send unicast and multicast messages. In the latter case, it sends multiple unicast messages.

#### TCPPING

Discovers the initial membership to determine coordinator. Join request will then be sent to coordinator.

#### VERIFY\_SUSPECT

Double checks that a suspected member is really dead

#### pbcast.NAKACK

Reliable and FIFO message delivery

#### pbcast.STABLE

Distributed garbage collection of messages seen by all members

#### pbcast.GMS

Membership services. Takes care of joining and removing new/old members, emits view changes

<sup>9</sup>This can actually be used to test the MERGE2 protocol: start two members (forming two singleton groups because they don't find each other), then start the GossipRouter. After some time, the two members will merge into one group

Since TCP already offers some of the reliability guarantees that UDP doesn't, some protocols (e.g. FRAG and UNICAST) are not needed on top of TCP.

When using TCP, each message to the group is sent as multiple unicast messages (one to each member). Due to the fact that IP multicasting cannot be used to discover the initial members, another mechanism has to be used to find the initial membership. There are a number of alternatives:

- PING with GossipRouter: same solution as described in Section 5.3.1.2 . The `ip_mcast` property has to be set to `false` . GossipRouter has to be started before the first member is started.
- TCPPING: uses a list of well-known group members that it solicits for initial membership
- TCPGOSSIP: essentially the same as the above PING <sup>10</sup> . The only difference is that TCPGOSSIP allows for multiple GossipRouters instead of only one.

The next two section illustrate the use of TCP with both TCPPING and TCPGOSSIP.

### 5.3.2.1. Using TCP and TCPPING

A protocol stack using TCP and TCPPING looks like this (other protocols omitted):

```
<TCP start_port="7800" /> +
<TCPPING initial_hosts="HostA[7800],HostB[7800]" port_range="5"
        timeout="3000" num_initial_members="3" />
```

The concept behind TCPPING is that no external daemon such as GossipRouter is needed. Instead some selected group members assume the role of well-known hosts from which initial membership information can be retrieved. In the example `HostA` and `HostB` are designated members that will be used by TCPPING to lookup the initial membership. The property `start_port` in TCP means that each member should try to assign port 7800 for itself. If this is not possible it will try the next higher port ( 7801 ) and so on, until it finds an unused port.

TCPPING will try to contact both `HostA` and `HostB` , starting at port 7800 and ending at port `7800 + port_range` , in the above example ports 7800 - 7804 . Assuming that at least one of `HostA` or `HostB` is up, a response will be received. To be absolutely sure to receive a response all the hosts on which members of the group will be running can be added to the configuration string.

### 5.3.2.2. Using TCP and TCPGOSSIP

As mentioned before TCPGOSSIP is essentially the same as PING with properties `gossip_host` , `gossip_port` and `gossip_refresh` set. However, in TCPGOSSIP these properties are called differently as shown below (only the bottom two protocols are shown):

```
<TCP />
<TCPGOSSIP initial_hosts="localhost[5555],localhost[5556]" gossip_refresh_rate="10000"
        num_initial_members="3" />
```

The `initial_hosts` properties combines both the host and port of a GossipRouter, and it is possible to specify <sup>10</sup>PING and TCPGOSSIP will be merged in the future.

more than one GossipRouter. In the example there are two GossipRouters at ports 5555 and 5556 on the local host. Also, `gossip_refresh_rate` defines how many milliseconds to wait between refreshing the entry with the GossipRouters.

The advantage of having multiple GossipRouters is that, as long as at least one is running, new members will always be able to retrieve the initial membership. Note that the GossipRouter should be started before any of the members.

### 5.3.3. TUNNEL

#### 5.3.3.1. Using TUNNEL to tunnel a firewall

Firewalls are usually placed at the connection to the internet. They shield local networks from outside attacks by screening incoming traffic and rejecting connection attempts to host inside the firewalls by outside machines. Most firewall systems allow hosts inside the firewall to connect to hosts outside it (outgoing traffic), however, incoming traffic is most often disabled entirely.

*Tunnels* are host protocols which encapsulate other protocols by multiplexing them at one end and demultiplexing them at the other end. Any protocol can be tunneled by a tunnel protocol.

The most restrictive setups of firewalls usually disable *all* incoming traffic, and only enable a few selected ports for outgoing traffic. In the solution below, it is assumed that one TCP port is enabled for outgoing connections to the GossipRouter.

JGroups has a mechanism that allows a programmer to tunnel a firewall. The solution involves a GossipRouter, which has to be outside of the firewall, so other members (possibly also behind firewalls) can access it.

The solution works as follows. A channel inside a firewall has to use protocol TUNNEL instead of UDP or TCP as bottommost layer. Recommended discovery protocol is PING, starting with 2.8 release, you do not have to specify any gossip routers in PING.

```
<TUNNEL gossip_router_hosts="127.0.0.1[12001]" />
<PING />
```

TCPGOSSIP uses the GossipRouter (outside the firewall) at port 12001 to register its address (periodically) and to retrieve the initial membership for its group. It is not recommended to use TCPGOSSIP for discovery if TUNNEL is already used. TCPGOSSIP might be used in rare scenarios when registration and initial member discovery *has to be done* through gossip router independent of transport protocol being used. Starting with 2.8 release TCPGOSSIP accepts one or multiple router hosts as a comma delimited list of host[port] elements specified in a property `initial_hosts`.

TUNNEL establishes a TCP connection to the *GossipRouter* process (also outside the firewall) that accepts messages from members and passes them on to other members. This connection is initiated by the host inside the firewall and persists as long as the channel is connected to a group. GossipRouter will use the *same connection* to send incoming messages to the channel that initiated the connection. This is perfectly legal, as TCP connections are fully duplex. Note that, if GossipRouter tried to establish its own TCP connection to the channel behind the firewall, it would fail. But it is okay to reuse the existing TCP connection, established by the channel.

Note that TUNNEL has to be given the hostname and port of the GossipRouter process. This example assumes a Gos-

sipRouter is running on the local host at port 12001. Both TUNNEL and TCPGOSSIP (or PING) access the same GossipRouter. Starting with 2.8 release TUNNEL transport layer accepts one or multiple router hosts as a comma delimited list of host[port] elements specified in a property gossip\_router\_hosts.

Any time a message has to be sent, TUNNEL forwards the message to GossipRouter, which distributes it to its destination: if the message's destination field is null (send to all group members), then GossipRouter looks up the members that belong to that group and forwards the message to all of them via the TCP connection they established when connecting to GossipRouter. If the destination is a valid member address, then that member's TCP connection is looked up, and the message is forwarded to it <sup>11</sup>.

Starting with 2.8 release, gossip router is no longer a single point of failure. In a set-up with multiple gossip routers, routers do not communicate among themselves, and single point of failure is avoided by having each channel simply connect to multiple available routers. In case one or more routers go down, cluster members are still able to exchange message through remaining available router instances, if there are any. For each send invocation, a channel goes through a list of available connections to routers and attempts to send a message on each connection until it succeeds. If a message could not be sent on any of the connections – an exception is raised. Default policy for connection selection is random. However, we also provide an plug-in interface for other policies as well. Gossip router configuration is static and is not updated for the lifetime of the channel. A list of available routers has to be provided in channel configuration file.

To tunnel a firewall using JGroups, the following steps have to be taken:

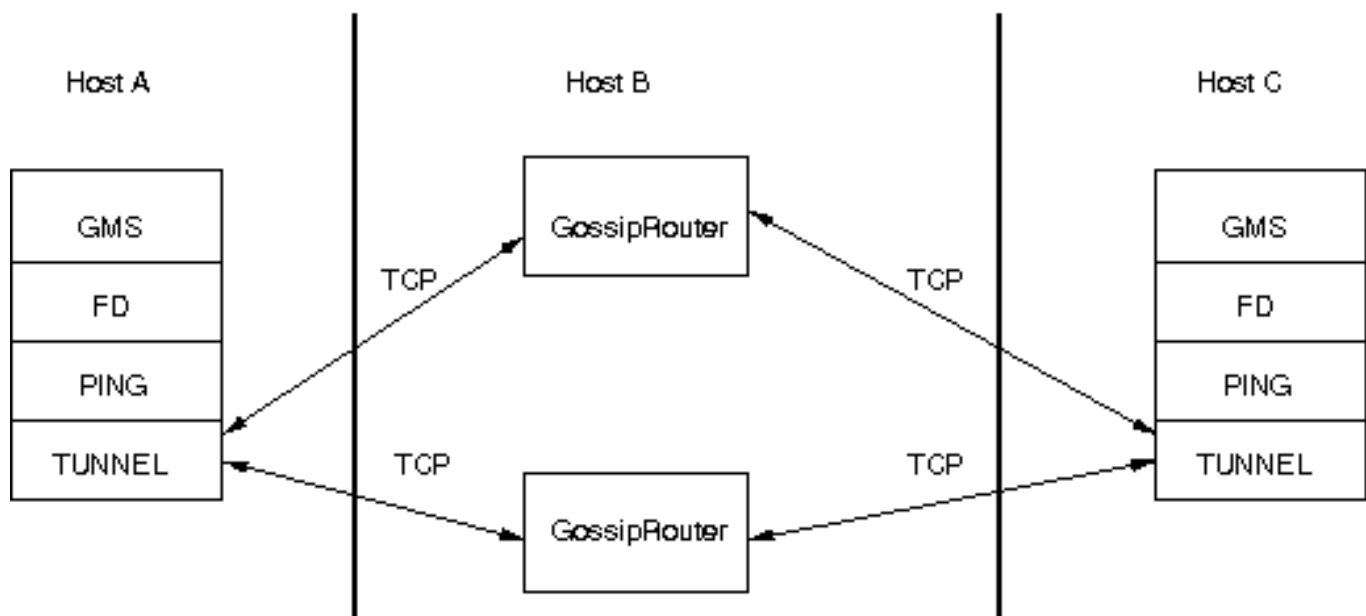
1. Check that a TCP port (e.g. 12001) is enabled in the firewall for outgoing traffic
2. Start the GossipRouter:

```
start org.jgroups.stack.GossipRouter -port 12001
```

3. Configure the TUNNEL protocol layer as instructed above.
4. Create a channel

The general setup is shown in Figure 5.2 .

<sup>11</sup>To do so, GossipRouter has to maintain a table between groups, member addresses and TCP connections.



**Figure 5.2. Tunneling a firewall**

First, the GossipRouter process is created on host B. Note that host B should be outside the firewall, and all channels in the same group should use the same GossipRouter process. When a channel on host A is created, its `TCP-GOSSIP` protocol will register its address with the GossipRouter and retrieve the initial membership (assume this is C). Now, a TCP connection with the GossipRouter is established by A; this will persist until A crashes or voluntarily leaves the group. When A multicasts a message to the group, GossipRouter looks up all group members (in this case, A and C) and forwards the message to all members, using their TCP connections. In the example, A would receive its own copy of the multicast message it sent, and another copy would be sent to C.

This scheme allows for example *Java applets*, which are only allowed to connect back to the host from which they were downloaded, to use JGroups: the HTTP server would be located on host B and the gossip and GossipRouter daemon would also run on that host. An applet downloaded to either A or C would be allowed to make a TCP connection to B. Also, applications behind a firewall would be able to talk to each other, joining a group.

However, there are several drawbacks: first, having to maintain a TCP connection for the duration of the connection might use up resources in the host system (e.g. in the GossipRouter), leading to scalability problems, second, this scheme is inappropriate when only a few channels are located behind firewalls, and the vast majority can indeed use IP multicast to communicate, and finally, it is not always possible to enable outgoing traffic on 2 ports in a firewall, e.g. when a user does not 'own' the firewall.

## 5.4. The concurrent stack

The concurrent stack (introduced in 2.5) provides a number of improvements over previous releases, which has some deficiencies:

- Large number of threads: each protocol had by default 2 threads, one for the up and one for the down queue. They could be disabled per protocol by setting `up_thread` or `down_thread` to false. In the new model, these threads have been removed.

- Sequential delivery of messages: JGroups used to have a single queue for incoming messages, processed by one thread. Therefore, messages from different senders were still processed in FIFO order. In 2.5 these messages can be processed in parallel.
- Out-of-band messages: when an application doesn't care about the ordering properties of a message, the OOB flag can be set and JGroups will deliver this particular message without regard for any ordering.

### 5.4.1. Overview

The architecture of the concurrent stack is shown in Figure 5.3. The changes were made entirely inside of the transport protocol (TP, with subclasses UDP, TCP and TCP\_NIO). Therefore, to configure the concurrent stack, the user has to modify the config for (e.g.) UDP in the XML file.

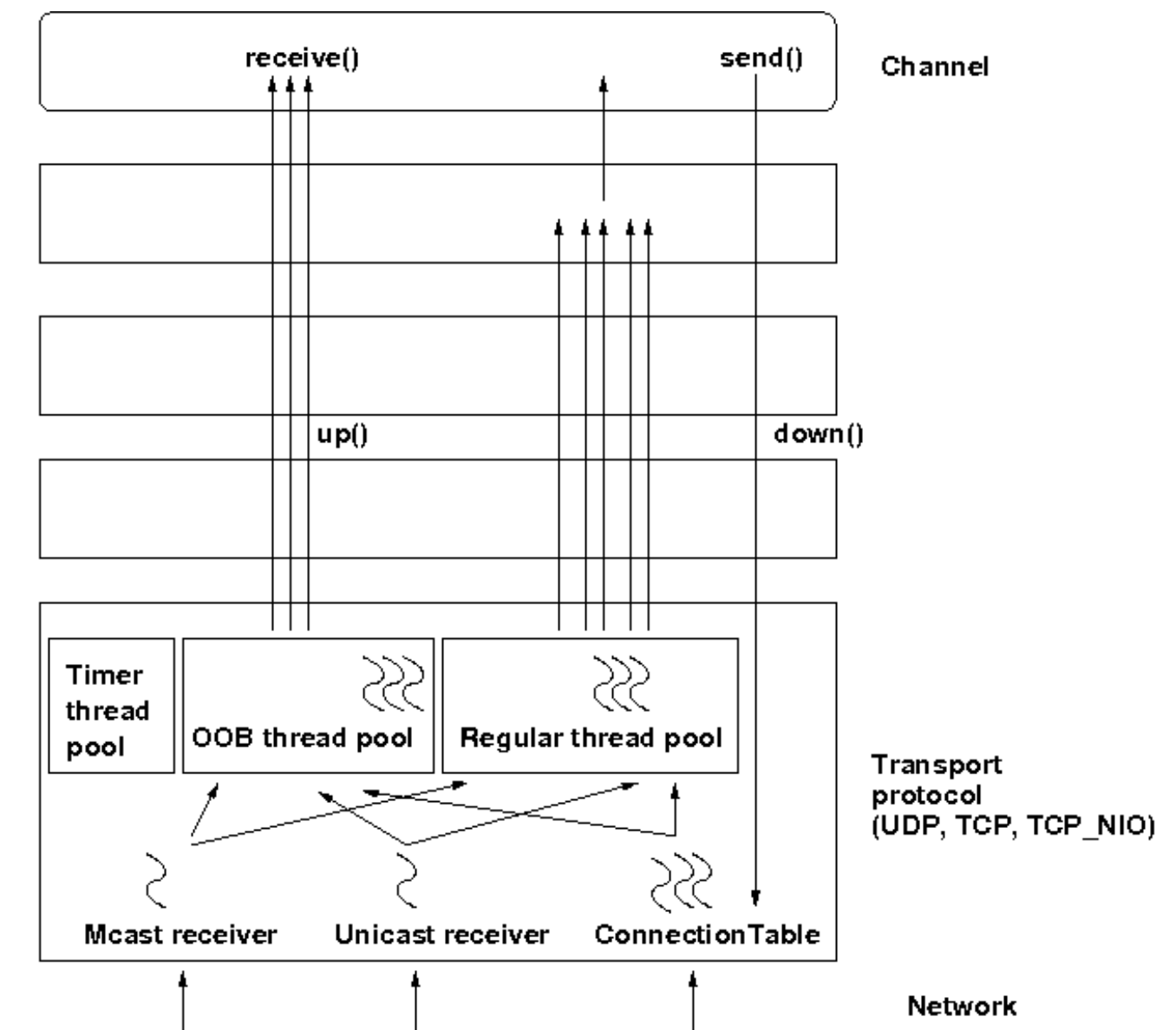


Figure 5.3. The concurrent stack

The concurrent stack consists of 2 thread pools (`java.util.concurrent.Executor`): the out-of-band (OOB) thread pool and the regular thread pool. Packets are received by multicast or unicast receiver threads (UDP) or a `ConnectionTable` (TCP, TCP\_NIO). Packets marked as OOB (with `Message.setFlag(Message.OOB)`) are dispatched to the OOB thread pool, and all other packets are dispatched to the regular thread pool.

When a thread pool is disabled, then we use the thread of the caller (e.g. multicast or unicast receiver threads or the `ConnectionTable`) to send the message up the stack and into the application. Otherwise, the packet will be processed by a thread from the thread pool, which sends the message up the stack. When all current threads are busy, another thread might be created, up to the maximum number of threads defined. Alternatively, the packet might get queued up until a thread becomes available.

The point of using a thread pool is that the receiver threads should only receive the packets and forward them to the thread pools for processing, because unmarshalling and processing is slower than simply receiving the message and can benefit from parallelization.

#### 5.4.1.1. Configuration

Note that this is preliminary and names or properties might change

We are thinking of exposing the thread pools programmatically, meaning that a developer might be able to set both threads pools programmatically, e.g. using something like `TP.setOOBThreadPool(Executor executor)`.

Here's an example of the new configuration:

```
<UDP
    mcast_addr="228.10.10.10"
    mcast_port="45588"

    thread_pool.enabled="true"
    thread_pool.min_threads="1"
    thread_pool.max_threads="100"
    thread_pool.keep_alive_time="20000"
    thread_pool.queue_enabled="false"
    thread_pool.queue_max_size="10"
    thread_pool.rejection_policy="Run"

    oob_thread_pool.enabled="true"
    oob_thread_pool.min_threads="1"
    oob_thread_pool.max_threads="4"
    oob_thread_pool.keep_alive_time="30000"
    oob_thread_pool.queue_enabled="true"
    oob_thread_pool.queue_max_size="10"
    oob_thread_pool.rejection_policy="Run" />
```

The attributes for the 2 thread pools are prefixed with `thread_pool` and `oob_thread_pool` respectively.

The attributes are listed below. The roughly correspond to the options of a `java.util.concurrent.ThreadPoolExecutor` in JDK 5.

#### Table 5.1. Attributes of thread pools

Name	Description
enabled	Whether of not to use a thread pool. If set to false, the caller's thread is used.
min_threads	The minimum number of threads to use.
max_threads	The maximum number of threads to use.
keep_alive_time	Number of milliseconds until an idle thread is removed from the pool
queue_enabled	Whether of not to use a (bounded) queue. If enabled, when all minimum threads are busy, work items are added to the queue. When the queue is full, additional threads are created, up to max_threads. When max_threads have been reached, the rejection policy is consulted.
max_size	The maximum number of elements in the queue. Ignored if the queue is disabled
rejection_policy	Determines what happens when the thread pool (and queue, if enabled) is full. The default is to run on the caller's thread. "Abort" throws a runtime exception. "Discard" discards the message, "DiscardOldest" discards the oldest entry in the queue. Note that these values might change, for example a "Wait" value might get added in the future.
thread_naming_pattern	Determines how threads are named that are running from thread pools in concurrent stack. Valid values include any combination of "cl" letters, where "c" includes the cluster name and "l" includes local address of the channel. The default is "cl"

### 5.4.2. Elimination of up and down threads

By removing the 2 queues/protocol and the associated 2 threads, we effectively reduce the number of threads needed to handle a message, and thus context switching overhead. We also get clear and unambiguous semantics for Channel.send(): now, all messages are sent down the stack on the caller's thread and the send() call only returns once the message has been put on the network. In addition, an exception will only be propagated back to the caller if the message has not yet been placed in a retransmit buffer. Otherwise, JGroups simply logs the error message but keeps retransmitting the message. Therefore, if the caller gets an exception, the message should be re-sent.

On the receiving side, a message is handled by a thread pool, either the regular or OOB thread pool. Both thread pools can be completely eliminated, so that we can save even more threads and thus further reduce context switching. The point is that the developer is now able to control the threading behavior almost completely.

### 5.4.3. Concurrent message delivery

Up to version 2.5, all messages received were processed by a single thread, even if the messages were sent by different senders. For instance, if sender A sent messages 1,2 and 3, and B sent message 34 and 45, and if A's messages were all received first, then B's messages 34 and 35 could only be processed after messages 1-3 from A were processed !

Now, we can process messages from different senders in parallel, e.g. messages 1, 2 and 3 from A can be processed by one thread from the thread pool and messages 34 and 35 from B can be processed on a different thread.

As a result, we get a speedup of almost N for a cluster of N if every node is sending messages and we configure the thread pool to have at least N threads. There is actually a unit test (`ConcurrentStackTest.java`) which demonstrates this.

#### 5.4.4. Out-of-band messages

OOB messages completely ignore any ordering constraints the stack might have. Any message marked as OOB will be processed by the OOB thread pool. This is necessary in cases where we don't want the message processing to wait until all other messages from the same sender have been processed, e.g. in the heartbeat case: if sender P sends 5 messages and then a response to a heartbeat request received from some other node, then the time taken to process P's 5 messages might take longer than the heartbeat timeout, so that P might get falsely suspected ! However, if the heartbeat response is marked as OOB, then it will get processed by the OOB thread pool and therefore might be concurrent to its previously sent 5 messages and not trigger a false suspicion.

The 2 unit tests `UNICAST_OOB_Test` and `NAKACK_OOB_Test` demonstrate how OOB messages influence the ordering, for both unicast and multicast messages.

#### 5.4.5. Replacing the default and OOB thread pools

In 2.7, there are 3 thread pools and 4 thread factories in TP:

**Table 5.2. Thread pools and factories in TP**

Name	Description
Default thread pool	This is the pools for handling incoming messages. It can be fetched using <code>getDefaultThreadPool()</code> and replaced using <code>setDefaultThreadPool()</code> . When setting a thread pool, the old thread pool (if any) will be shut-down and all of it tasks cancelled first
OOB thread pool	This is the pool for handling incoming OOB messages. Methods to get and set it are <code>getOOBThreadPool()</code> and <code>setOOBThreadPool()</code>
Timer thread pool	This is the thread pool for the timer. The max number of threads is set through the <code>timer.num_threads</code> property. The timer thread pool cannot be set, it can only be retrieved using <code>getTimer()</code> . However, the thread factory of the timer can be replaced (see below)
Default thread factory	This is the thread factory

Name	Description
	(org.jgroups.util.ThreadFactory) of the default thread pool, which handles incoming messages. A thread pool factory is used to name threads and possibly make them daemons. It can be accessed using getDefaultThreadPoolThreadFactory() and setDefaultThreadPoolThreadFactory()
OOB thread factory	This is the thread factory for the OOB thread pool. It can be retrieved using getOOBThreadPoolThreadFactory() and set using method setOOBThreadPoolThreadFactory()
Timer thread factory	This is the thread factory for the timer thread pool. It can be accessed using getTimerThreadFactory() and setTimerThreadFactory()
Global thread factory	The global thread factory can get used (e.g. by protocols) to create threads which don't live in the transport, e.g. the FD_SOCKET server socket handler thread. Each protocol has a method getTransport(). Once the TP is obtained, getThreadFactory() can be called to get the global thread factory. The global thread factory can be replaced with setThreadFactory()

### 5.4.6. Sharing of thread pools between channels in the same JVM

In 2.7, the default and OOB thread pools can be shared between instances running inside the same JVM. The advantage here is that multiple channels running within the same JVM can pool (and therefore save) threads. The disadvantage is that thread naming will not show to which channel instance an incoming thread belongs to.

Note that we can not just shared thread pools between JChannels within the same JVM, but we can also share entire transports. For details see Section 5.2.

## 5.5. Misc

### 5.5.1. Shunning

Note that in 2.8, shunning has been removed, so the sections below only apply to versions up to 2.7.

Let's say we have 4 members in a group: {A,B,C,D}. When a member (say D) is expelled from the group, e.g. because it didn't respond to are-you-alive messages, and later comes back, then it is shunned. Shunning causes a member to leave the group and re-join, if this is enabled on the Channel. To enable automatic re-connects, the AUTO\_RECONNECT option has to be set on the Channel:

```
channel.setOpt(Channel.AUTO_RECONNECT, Boolean.TRUE);
```

To enable shunning, set `FD.shun` and `GMS.shun` to `true`.

Let's look at a more detailed example. Say member D is overloaded, and doesn't respond to are-you-alive messages (done by the failure detection (FD) protocol). It is therefore suspected and excluded. The new view for A, B and C will be {A,B,C}, however for D the view is still {A,B,C,D}. So when D comes back and sends messages to the group, or any individual member, those messages will be discarded, because A,B and C don't see D in their view. D is shunned when A,B or C receive an are-you-alive message from D, or D shuns itself when it receives a view which doesn't include D.

So shunning is always a unilateral decision. However, things may be different if all members exclude each other from the group. For example, say we have a switch connecting A, B, C and D. If someone pulls all plugs on the switch, or powers the switch down, then A, B, C and D will all form singleton groups, that is, each member thinks it's the only member in the group. When the switch goes back to normal, then each member will shun everybody else (a real shun fest :-)). This is clearly not desirable, so in this case shunning should be turned off:

```
<FD timeout="2000" max_tries="3" shun="false"/> ... <pbcast.GMS join_timeout="3000" shun="fal
```

## 5.6. Handling network partitions

Network partitions can be caused by switch, router or network interface crashes, among other things. If we have a cluster {A,B,C,D,E} spread across 2 subnets {A,B,C} and {D,E} and the switch to which D and E are connected crashes, then we end up with a network partition, with subclusters {A,B,C} and {D,E}.

A, B and C can ping each other, but not D or E, and vice versa. We now have 2 coordinators, A and D. Both subclusters operate independently, for example, if we maintain a shared state, subcluster {A,B,C} replicate changes to A, B and C.

This means, that if during the partition, some clients access {A,B,C}, and others {D,E}, then we end up with different states in both subclusters. When a partition heals, the merge protocol (e.g. MERGE2) will notify A and D that there were 2 subclusters and merge them back into {A,B,C,D,E}, with A being the new coordinator and D ceasing to be coordinator.

The question is what happens with the 2 diverged substates ?

There are 2 solutions to merging substates: first we can attempt to create a new state from the 2 substates, and secondly we can shut down all members of the *non primary partition*, such that they have to re-join and possibly reacquire the state from a member in the primary partition.

In both cases, the application has to handle a `MergeView` (subclass of `View`), as shown in the code below:

```
public void viewAccepted(View view) {
    if(view instanceof MergeView) {
        MergeView tmp=(MergeView)view;
        Vector<View> subgroups=tmp.getSubgroups();
        // merge state or determine primary partition
        // run this in a separate thread !
    }
}
```

It is essential that the merge view handling code run on a separate thread if it needs more than a few milliseconds, or else it would block the calling thread.

The MergeView contains a list of views, each view represents a subgroups and has the list of members which formed this group.

### 5.6.1. Merging substates

The application has to merge the substates from the various subgroups ({A,B,C} and {D,E}) back into one single state for {A,B,C,D,E}. This task *has* to be done by the application because JGroups knows nothing about the application state, other than it is a byte buffer.

If the in-memory state is backed by a database, then the solution is easy: simply discard the in-memory state and fetch it (eagerly or lazily) from the DB again. This of course assumes that the members of the 2 subgroups were able to write their changes to the DB. However, this is often not the case, as connectivity to the DB might have been severed by the network partition.

Another solution could involve tagging the state with time stamps. On merging, we could compare the time stamps for the substates and let the substate with the more recent time stamps win.

Yet another solution could increase a counter for a state each time the state has been modified. The state with the highest counter wins.

Again, the merging of state can only be done by the application. Whatever algorithm is picked to merge state, it has to be deterministic.

### 5.6.2. The primary partition approach

The primary partition approach is simple: on merging, one subgroup is designated as the *primary partition* and all others as non-primary partitions. The members in the primary partition don't do anything, whereas the members in the non-primary partitions need to drop their state and re-initialize their state from fresh state obtained from a member of the primary partition.

The code to find the primary partition needs to be deterministic, so that all members pick the *same* primary partition. This could be for example the first view in the MergeView, or we could sort all members of the new MergeView and pick the subgroup which contained the new coordinator (the one from the consolidated MergeView). Another possible solution could be to pick the largest subgroup, and, if there is a tie, sort the tied views lexicographically (all Addresses have a compareTo() method) and pick the subgroup with the lowest ranked member.

Here's code which picks as primary partition the first view in the MergeView, then re-acquires the state from the *new* coordinator of the combined view:

```
public static void main(String[] args) throws Exception {
    final JChannel ch=new JChannel("/home/bela/udp.xml");
    ch.setReceiver(new ExtendedReceiverAdapter() {
        public void viewAccepted(View new_view) {
            handleView(ch, new_view);
        }
    });
    ch.connect("x");
}
```

```

        while(ch.isConnected())
            Util.sleep(5000);
    }

    private static void handleView(JChannel ch, View new_view) {
        if(new_view instanceof MergeView) {
            ViewHandler handler=new ViewHandler(ch, (MergeView)new_view);
            handler.start(); // requires separate thread as we don't want to block JGroups
        }
    }

    private static class ViewHandler extends Thread {
        JChannel ch;
        MergeView view;

        private ViewHandler(JChannel ch, MergeView view) {
            this.ch=ch;
            this.view=view;
        }

        public void run() {
            Vector<View> subgroups=view.getSubgroups();
            View tmp_view=subgroups.firstElement(); // picks the first
            Address local_addr=ch.getLocalAddress();
            if(!tmp_view.getMembers().contains(local_addr)) {
                System.out.println("I (" + local_addr + ") am not member of the new primary partition
                "), will re-acquire the state");
                try {
                    ch.getState(null, 30000);
                }
                catch(Exception ex) {
                }
            }
            else {
                System.out.println("I (" + local_addr + ") am member of the new primary partition
                "), will do nothing");
            }
        }
    }
}

```

The `handleView()` method is called from `viewAccepted()`, which is called whenever there is a new view. It spawns a new thread which gets the subgroups from the `MergeView`, and picks the first subgroup to be the primary partition. Then, if it was a member of the primary partition, it does nothing, and if not, it reacquires the state from the coordinator of the primary partition (A).

The downside to the primary partition approach is that work (= state changes) on the non-primary partition is discarded on merging. However, that's only problematic if the data was purely in-memory data, and not backed by persistent storage. If the latter's the case, use state merging discussed above.

It would be simpler to shut down the non-primary partition as soon as the network partition is detected, but that a non trivial problem, as we don't know whether {D,E} simply crashed, or whether they're still alive, but were partitioned away by the crash of a switch. This is called a *split brain syndrome*, and means that none of the members has enough information to determine whether it is in the primary or non-primary partition, by simply exchanging messages.

### 5.6.3. The Split Brain syndrome and primary partitions

In certain situations, we can avoid having multiple subgroups where every subgroup is able to make progress, and

on merging having to discard state of the non-primary partitions.

If we have a fixed membership, e.g. the cluster always consists of 5 nodes, then we can run code on a view reception that determines the primary partition. This code

- assumes that the primary partition has to have at least 3 nodes
- any cluster which has less than 3 nodes doesn't accept modifications. This could be done for shared state for example, by simply making the {D,E} partition read-only. Clients can access the {D,E} partition and read state, but not modify it.
- As an alternative, clusters without at least 3 members could shut down, so in this case D and E would leave the cluster.

The algorithm is shown in pseudo code below:

```

On initialization:
  - Mark the node as read-only

On view change V:
  - If V has >= N members:
    - If not read-write: get state from coordinator and switch to read-write
    - Else: switch to read-only

```

Of course, the above mechanism requires that at least 3 nodes are up at any given time, so upgrades have to be done in a staggered way, taking only one node down at a time. In the worst case, however, this mechanism leaves the cluster read-only and notifies a system admin, who can fix the issue. This is still better than shutting the entire cluster down.

## 5.7. Flushing: making sure every node in the cluster received a message

When sending messages, the properties of the default stacks (udp.xml, tcp.xml) are that all messages are delivered reliably to all (non-crashed) members. However, there are no guarantees with respect to the view in which a message will get delivered. For example, when a member A with view  $V1=\{A,B,C\}$  multicasts message M1 to the group and D joins at about the same time, then D may or may not receive M1, and there is no guarantee that A, B and C receive M1 in  $V1$  or  $V2=\{A,B,C,D\}$ .

To change this, we can turn on virtual synchrony (by adding FLUSH to the top of the stack), which guarantees that

- A message M sent in  $V1$  will be delivered in  $V1$ . So, in the example above, M1 would get delivered in view  $V1$ ; by A, B and C, but not by D.
- The set of messages seen by members in  $V1$  is the same for all members before a new view  $V2$  is installed. This is important, as it ensures that all members in a given view see the same messages. For example, in a group  $\{A,B,C\}$ , C sends 5 messages. A receives all 5 messages, but B doesn't. Now C crashes before it can retransmit the messages to B. FLUSH will now ensure, that before installing  $V2=\{A,B\}$  (excluding C), B gets C's 5 messages. This is done through the flush protocol, which has all members reconcile their messages before a

new view is installed. In this case, A will send C's 5 messages to B.

Sometimes it is important to know that every node in the cluster received all messages up to a certain point, even if there is no new view being installed. To do this (initiate a manual flush), an application programmer can call `Channel.startFlush()` to start a flush and `Channel.stopFlush()` to terminate it.

`Channel.startFlush()` flushes all pending messages out of the system. This stops all senders (calling `Channel.down()` during a flush will block until the flush has completed)<sup>12</sup>. When `startFlush()` returns, the caller knows that (a) no messages will get sent anymore until `stopFlush()` is called and (b) all members have received all messages sent before `startFlush()` was called.

`Channel.stopFlush()` terminates the flush protocol, no blocked senders can resume sending messages.

Note that the FLUSH protocol has to be present on top of the stack, or else the flush will fail.

<sup>12</sup>Note that `block()` will be called in a Receiver when the flush is about to start and `unblock()` will be called when it ends

# 6

## Writing protocols

This chapter discusses how to write custom protocols

### 6.1. Anatomy of a protocol

### 6.2. Writing user defined headers

Headers are mainly used by protocols, to ship additional information around with a message, without having to place it into the payload buffer, which is often occupied by the application already. However, headers can also be used by an application, e.g. to add information to a message, without having to squeeze it into the payload buffer.

A header has to extend `org.jgroups.Header`, have an empty public constructor and (currently) implement the `Externalizable` interface (`writeExternal()` and `readExternal()` methods). Note that the latter requirement (`Externalizable`) will probably go away in 3.0.

A header should also override `size()`, which returns the total number of bytes taken up in the output stream when an instance is marshalled using `Streamable`. `Streamable` is an interface for efficient marshalling with methods `void writeTo(DataOutputStream out) throws IOException;` and `void readFrom(DataInputStream in) throws IOException, IllegalAccessException, InstantiationException;`. Method `writeTo()` needs to write all relevant instance variables to the output stream and `readFrom()` needs to read them back in. It is important that `size()` returns the correct number of bytes, because some components such a message bundling in the transport depend on this, as they need to measure the exact number of bytes before sending a message off. If `size()` returns fewer bytes than what will actually be written to the stream, then it is possible that (if we use UDP with a 65535 bytes maximum) the datagram packet is dropped by UDP !

The final requirement is to add the newly created header class to `kg-magic-map.xml` (in the `./conf` directory), or - if this is not a JGroups internal protocol - to add the class to `ClassConfigurator`. This can be done with method `ClassConfigurator.getInstance().put(1899, MyHeader.class)`.

The code below shows how an application defines a custom header, `MyHeader`, and uses it to attach additional information to message sent (to itself):

```
public class bla {

    public static void main(String[] args) throws ChannelException, ClassNotFoundException {
        JChannel ch=new JChannel();
        ch.connect("demo");
        ch.setReceiver(new ReceiverAdapter() {
            public void receive(Message msg) {
                MyHeader hdr=(MyHeader)msg.getHeader("x");
                System.out.println("-- received message " + msg + ", header is " + hdr);
            }
        });
    }
}
```

```

        }
    });

    ClassConfigurator.getInstance().add((short)1900, MyHeader.class);

    int cnt=1;
    for(int i=0; i < 5; i++) {
        Message msg=new Message();
        msg.putHeader("x", new MyHeader(cnt++));
        ch.send(msg);
    }
    ch.close();
}

public static class MyHeader extends Header implements Streamable {
    int counter=0;

    public MyHeader() {
    }

    private MyHeader(int counter) {
        this.counter=counter;
    }

    private static final long serialVersionUID=7726837062616954053L;

    public void writeExternal(ObjectOutput out) throws IOException {}

    public void readExternal(ObjectInput in) throws IOException, ClassNotFoundException {}

    public String toString() {
        return "counter=" + counter;
    }

    public int size() {
        return Global.INT_SIZE;
    }

    public void writeTo(DataOutputStream out) throws IOException {
        out.writeInt(counter);
    }

    public void readFrom(DataInputStream in) throws IOException, IllegalArgumentException {
        counter=in.readInt();
    }
}
}

```

The MyHeader class has an empty public constructor and implements the writeExternal() and readExternal() methods with no-op implementations.

The state is represented as an integer counter. Method size() returns 4 bytes (Global.INT\_SIZE), which is the number of bytes written by writeTo() and read by readFrom().

Before sending messages with instances of MyHeader attached, the program registers the MyHeader class with the ClassConfigurator. The example uses a magic number of 1900, but any number greater than 1024 can be used. If the magic number was already taken, an IllegalArgumentException would be thrown.

The final part is adding an instance of MyHeader to a message using Message.putHeader(). The first argument is a name which has to be unique across all headers for a given message. Usually, protocols use the protocol name (e.g.

"UDP", "NAKACK"), so these names should not be used by an application. The second argument is an instance of the header.

Getting a header is done through `Message.getHeader()` which takes the name as argument. This name of course has to be the same as the one used in `putHeader()`.

# 7

## List of Protocols

This section is work in progress; we strive to update the documentation as we make changes to the code.

The most important properties are described on the wiki [1]. The idea is that users take one of the predefined configurations (shipped with JGroups) and make only minor changes to it.

For each protocol define:

- Properties provided
- Required services
- Provided services
- Behavior

### 7.1. Transport

#### 7.1.1. UDP

**Table 7.1. Properties**

Name	Description
bind_addr	The bind address which should be used by this transport
bind_interface_str	The interface (NIC) which should be used by this transport
bind_port	The port to which the transport binds. Default of 0 binds to any (ephemeral) port
diagnostics_addr	Address for diagnostic probing. Default is 224.0.75.75
diagnostics_port	Port for diagnostic probing. Default is 7500
disable_loopback	
discard_incompatible_packets	Discard packets with a different version if true. De-

[1] <http://www.jboss.org/wiki/Wiki.jsp?page=JGroups>

Name	Description
	fault is false
enable_bundling	Enable bundling of smaller messages into bigger ones. Default is true
enable_diagnostics	Switch to enable diagnostic probing. Default is true
enable_unicast_bundling	Enable bundling of smaller messages into bigger ones for unicast messages. Default is false
ip_mcast	Multicast toggle. If false multiple unicast datagrams are sent instead of one multicast. Default is true
ip_ttl	The time-to-live (TTL) for multicast datagram packets. Default is 8
level	Sets the logger level (see javadocs)
log_discard_msgs	whether or not warnings about messages from different groups are logged
loopback	Messages to self are looped back immediately if true
marshaller_pool_size	
max_bundle_size	Maximum number of bytes for messages to be queued until they are sent
max_bundle_timeout	Max number of milliseconds until queued messages are sent
mcast_group_addr	The multicast address used for sending and receiving packets. Default is 228.8.8.8
mcast_port	The multicast port used for sending and receiving packets. Default is 7600
mcast_rcv_buf_size	Receive buffer size of the multicast datagram socket. Default is 500'000 bytes
mcast_send_buf_size	Send buffer size of the multicast datagram socket. Default is 100'000 bytes
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_timer_threads	Number of threads to be used by the timer thread pool. Default is 4
oob_thread_pool.keep_alive_time	Timeout in ms to remove idle threads from the OOB pool
oob_thread_pool.max_threads	Max thread pool size for the OOB thread pool
oob_thread_pool.min_threads	Minimum thread pool size for the OOB thread pool

Name	Description
oob_thread_pool_enabled	Switch for enabling thread pool for OOB messages. Default=true
oob_thread_pool_queue_enabled	Use queue to enqueue incoming OOB messages
oob_thread_pool_queue_max_size	Maximum queue size for incoming OOB messages. Default is 500
oob_thread_pool_rejection_policy	Thread rejection policy. Possible values are Abort, Discard, DiscardOldest and Run. Default is Discard
port_range	The range of valid ports, from bind_port to end_port. Infinite if 0
receive_interfaces	Comma delimited list of interfaces (IP addresses or interface names) to receive multicasts on
receive_on_all_interfaces	If true, the transport should use all available interfaces to receive multicast messages
singleton_name	If assigned enable this transport to be a singleton (shared) transport
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
thread_naming_pattern	Thread naming pattern for threads in this channel. Default is cl
thread_pool.keep_alive_time	Timeout in milliseconds to remove idle thread from regular pool
thread_pool.max_threads	Maximum thread pool size for the regular thread pool
thread_pool.min_threads	Minimum thread pool size for the regular thread pool
thread_pool_enabled	Switch for enabling thread pool for regular messages. Default true
thread_pool_queue_enabled	Use queue to enqueue incoming regular messages. Default is true
thread_pool_queue_max_size	Maximum queue size for incoming OOB messages. Default is 500
thread_pool_rejection_policy	Thread rejection policy. Possible values are Abort, Discard, DiscardOldest and Run. Default is Discard
tos	Traffic class for sending unicast and multicast datagrams. Default is 8
ucast_rcv_buf_size	Receive buffer size of the unicast datagram socket. Default is 64'000 bytes
ucast_send_buf_size	Send buffer size of the unicast datagram socket. Default is 100'000 bytes

Name	Description
use_local_host	Ignores all bind address parameters and let's the OS return the local host address

## 7.1.2. TCP

**Table 7.2. Properties**

Name	Description
bind_addr	The bind address which should be used by this transport
bind_interface_str	The interface (NIC) which should be used by this transport
bind_port	The port to which the transport binds. Default of 0 binds to any (ephemeral) port
conn_expire_time	Max time connection can be idle before being reaped (in ms)
diagnostics_addr	Address for diagnostic probing. Default is 224.0.75.75
diagnostics_port	Port for diagnostic probing. Default is 7500
discard_incompatible_packets	Discard packets with a different version if true. Default is false
enable_bundling	Enable bundling of smaller messages into bigger ones. Default is true
enable_diagnostics	Switch to enable diagnostic probing. Default is true
enable_unicast_bundling	Enable bundling of smaller messages into bigger ones for unicast messages. Default is false
external_addr	Use "external_addr" if you have hosts on different networks, behind firewalls. On each firewall, set up a port forwarding rule (sometimes called "virtual server") to the local IP (e.g. 192.168.1.100) of the host then on each host, set "external_addr" TCP transport parameter to the external (public IP) address of the firewall.
level	Sets the logger level (see javadocs)
linger	SO_LINGER in msec. Default of -1 disables it
log_discard_msgs	whether or not warnings about messages from different groups are logged

Name	Description
loopback	Messages to self are looped back immediately if true
marshaller_pool_size	
max_bundle_size	Maximum number of bytes for messages to be queued until they are sent
max_bundle_timeout	Max number of milliseconds until queued messages are sent
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_timer_threads	Number of threads to be used by the timer thread pool. Default is 4
oob_thread_pool.keep_alive_time	Timeout in ms to remove idle threads from the OOB pool
oob_thread_pool.max_threads	Max thread pool size for the OOB thread pool
oob_thread_pool.min_threads	Minimum thread pool size for the OOB thread pool
oob_thread_pool.enabled	Switch for enabling thread pool for OOB messages. Default=true
oob_thread_pool.queue_enabled	Use queue to enqueue incoming OOB messages
oob_thread_pool.queue_max_size	Maximum queue size for incoming OOB messages. Default is 500
oob_thread_pool.rejection_policy	Thread rejection policy. Possible values are Abort, Discard, DiscardOldest and Run. Default is Discard
peer_addr_read_timeout	Max time to block on reading of peer address
port_range	The range of valid ports, from bind_port to end_port. Infinite if 0
reaper_interval	Reaper interval in msec. Default is 0 (no reaping)
receive_interfaces	Comma delimited list of interfaces (IP addresses or interface names) to receive multicasts on
receive_on_all_interfaces	If true, the transport should use all available interfaces to receive multicast messages
recv_buf_size	Receiver buffer size in bytes
send_buf_size	Send buffer size in bytes
send_queue_size	Max number of messages in a send queue
singleton_name	If assigned enable this transport to be a singleton (shared) transport
sock_conn_timeout	Max time allowed for a socket creation in Connec-

Name	Description
	tionTable
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
tcp_nodelay	Should TCP no delay flag be turned on
thread_naming_pattern	Thread naming pattern for threads in this channel. Default is cl
thread_pool.keep_alive_time	Timeout in milliseconds to remove idle thread from regular pool
thread_pool.max_threads	Maximum thread pool size for the regular thread pool
thread_pool.min_threads	Minimum thread pool size for the regular thread pool
thread_pool_enabled	Switch for enabling thread pool for regular messages. Default true
thread_pool_queue_enabled	Use queue to enqueue incoming regular messages. Default is true
thread_pool_queue_max_size	Maximum queue size for incoming OOB messages. Default is 500
thread_pool_rejection_policy	Thread rejection policy. Possible values are Abort, Discard, DiscardOldest and Run. Default is Discard
use_local_host	Ignores all bind address parameters and let's the OS return the local host address
use_send_queues	Should separate send queues be used for each connection

### 7.1.3. TUNNEL

## 7.2. Initial membership discovery

The task of the discovery is to find an initial membership, which is used to determine the current coordinator. Once a coordinator is found, the joiner sends a JOIN request to the coord.

### 7.2.1. PING

**Table 7.3. Properties**

Name	Description
break_on_coord_rsp	Return from the discovery phase as soon as we have 1 coordinator response
discovery_timeout	Time (in ms) to wait for our own discovery message to be received. 0 means don't wait. If the discovery message is not received within discovery_timeout ms, a warning will be logged
level	Sets the logger level (see javadocs)
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_initial_members	Minimum number of initial members to get a response from. Default is 2
num_initial_srv_members	Minimum number of server responses (PingData.isServer()==true). If this value is greater than 0, we'll ignore num_initial_members
num_ping_requests	Number of discovery requests to be sent distributed over timeout. Default is 2
return_entire_cache	Whether or not to return the entire logical-physical address cache mappings on a discovery request, or not. Default is false, except for TCPPING
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
timeout	Timeout to wait for the initial members. Default is 3000 msec

## 7.2.2. FILE\_PING

This uses a shared directory into which all members write their addresses. New joiners read all addresses from this directory (which needs to be shared, e.g. via NFS or SMB) and ping each of the elements of the resulting set of members. When a member leaves, it deletes its corresponding file.

FILE\_PING can be used instead of GossipRouter in cases where no external process is desired.

**Table 7.4. Properties**

Name	Description
break_on_coord_rsp	Return from the discovery phase as soon as we have 1 coordinator response
discovery_timeout	Time (in ms) to wait for our own discovery message to be received. 0 means don't wait. If the discovery message is not received within discovery_timeout ms,

Name	Description
	a warning will be logged
level	Sets the logger level (see javadocs)
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_initial_members	Minimum number of initial members to get a response from. Default is 2
num_initial_srv_members	Minimum number of server responses (PingData.isServer()=true). If this value is greater than 0, we'll ignore num_initial_members
num_ping_requests	Number of discovery requests to be sent distributed over timeout. Default is 2
return_entire_cache	Whether or not to return the entire logical-physical address cache mappings on a discovery request, or not. Default is false, except for TCPING
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
timeout	Timeout to wait for the initial members. Default is 3000 msec

### 7.2.3. TCPING

**Table 7.5. Properties**

Name	Description
break_on_coord_rsp	Return from the discovery phase as soon as we have 1 coordinator response
initial_hosts	Comma delimited list of hosts to be contacted for initial membership
level	Sets the logger level (see javadocs)
max_dynamic_hosts	max number of hosts to keep beyond the ones in initial_hosts
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_initial_members	Minimum number of initial members to get a response from. Default is 2
num_initial_srv_members	Minimum number of server responses

Name	Description
	(PingData.isServer()==true). If this value is greater than 0, we'll ignore num_initial_members
num_ping_requests	Number of discovery requests to be sent distributed over timeout. Default is 2
port_range	Number of ports to be probed for initial membership. Default is 1
return_entire_cache	Whether or not to return the entire logical-physical address cache mappings on a discovery request, or not. Default is false, except for TCPING
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
timeout	Timeout to wait for the initial members. Default is 3000 msec

## 7.2.4. TCPGOSSIP

**Table 7.6. Properties**

Name	Description
break_on_coord_rsp	Return from the discovery phase as soon as we have 1 coordinator response
initial_hosts	Comma delimited list of hosts to be contacted for initial membership
level	Sets the logger level (see javadocs)
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_initial_members	Minimum number of initial members to get a response from. Default is 2
num_initial_srv_members	Minimum number of server responses (PingData.isServer()==true). If this value is greater than 0, we'll ignore num_initial_members
num_ping_requests	Number of discovery requests to be sent distributed over timeout. Default is 2
reconnect_interval	Interval (ms) by which a disconnected stub attempts to reconnect to the GossipRouter
return_entire_cache	Whether or not to return the entire logical-physical address cache mappings on a discovery request, or

Name	Description
	not. Default is false, except for TCPPING
sock_conn_timeout	Max time for socket creation. Default is 1000 msec
sock_read_timeout	Max time in milliseconds to block on a read. 0 blocks forever
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
timeout	Timeout to wait for the initial members. Default is 3000 msec

## 7.2.5. MPING

**Table 7.7. Properties**

Name	Description
bind_addr	Bind address for multicast socket
bind_interface_str	The interface (NIC) which should be used by this transport
break_on_coord_rsp	Return from the discovery phase as soon as we have 1 coordinator response
discovery_timeout	Time (in ms) to wait for our own discovery message to be received. 0 means don't wait. If the discovery message is not received within discovery_timeout ms, a warning will be logged
ip_ttl	Time to live for discovery packets. Default is 8
level	Sets the logger level (see javadocs)
mcast_addr	
mcast_port	Multicast port for discovery packets. Default is 7555
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_initial_members	Minimum number of initial members to get a response from. Default is 2
num_initial_srv_members	Minimum number of server responses (PingData.isServer()=true). If this value is greater than 0, we'll ignore num_initial_members
num_ping_requests	Number of discovery requests to be sent distributed over timeout. Default is 2

Name	Description
receive_interfaces	List of interfaces to receive multicasts on
receive_on_all_interfaces	If true, the transport should use all available interfaces to receive multicast messages. Default is false
return_entire_cache	Whether or not to return the entire logical-physical address cache mappings on a discovery request, or not. Default is false, except for TCPPING
send_interfaces	List of interfaces to send multicasts on
send_on_all_interfaces	Whether send messages are sent on all interfaces. Default is false
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
timeout	Timeout to wait for the initial members. Default is 3000 msec

## 7.3. Merging after a network partition

### 7.3.1. MERGE2

**Table 7.8. Properties**

Name	Description
inconsistent_view_threshold	Number of inconsistent views with only 1 coord after a MERGE event is sent up
level	Sets the logger level (see javadocs)
max_interval	Maximum time in ms between runs to discover other clusters
min_interval	Minimum time in ms between runs to discover other clusters
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
stats	Determines whether to collect statistics (and expose them via JMX). Default is true

## 7.4. Failure Detection

The task of failure detection is to probe members of a group and see whether they are alive. When a member is suspected (= deemed dead), then a SUSPECT message is sent to all nodes of the cluster. It is not the task of the failure detection layer to exclude a crashed member (this is done by the group membership protocol, GMS), but simply to notify everyone that a node in the cluster is suspected of having crashed.

### 7.4.1. FD

Failure detection based on heartbeat messages. If reply is not received without timeout ms, max\_tries times, a member is declared suspected, and will be excluded by GMS

Each member send a message containing a "FD" - HEARTBEAT header to its neighbor to the right (identified by the ping\_dest address). The heartbeats are sent by the inner class Monitor. When the neighbor receives the HEARTBEAT, it replies with a message containing a "FD" - HEARTBEAT\_ACK header. The first member watches for "FD" - HEARTBEAT\_ACK replies from its neighbor. For each received reply, it resets the last\_ack timestamp (sets it to current time) and num\_tries counter (sets it to 0). The same Monitor instance that sends heartbeats whatches the difference between current time and last\_ack. If this difference grows over timeout, the Monitor cycles several more times (until max\_tries) is reached) and then sends a SUSPECT message for the neighbor's address. The SUSPECT message is sent down the stack, is addressed to all members, and is as a regular message with a FdHeader.SUSPECT header.

**Table 7.9. Properties**

Name	Description
level	Sets the logger level (see javadocs)
max_tries	Number of times to send an are-you-alive message
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
timeout	Timeout to suspect a node P if neither a heartbeat nor data were received from P. Default is 3000 msec

### 7.4.2. FD\_ALL

Failure detection based on simple heartbeat protocol. Every member periodically multicasts a heartbeat. Every member also maintains a table of all members (minus itself). When data or a heartbeat from P are received, we reset the timestamp for P to the current time. Periodically, we check for expired members, and suspect those.

Example: `<FD_ALL interval="3000" timeout="10000"/>`

In the exampe above, we send a heartbeat every 3 seconds and suspect members if we haven't received a heartbeat (or traffic) for more than 10 seconds. Note that since we check the timestamps every 'interval' milliseconds, we will

suspect a member after roughly  $4 * 3s == 12$  seconds. If we set the timeout to 8500, then we would suspect a member after  $3 * 3 \text{ secs} == 9$  seconds.

**Table 7.10. Properties**

Name	Description
interval	Interval in which a HEARTBEAT is sent to the cluster
level	Sets the logger level (see javadocs)
msg_counts_as_heartbeat	Treat messages received from members as heartbeats. Note that this means we're updating a value in a hashmap every time a message is passing up the stack through FD_ALL, which is costly. Default is false
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
timeout	Timeout after which a node P is suspected if neither a heartbeat nor data were received from P

### 7.4.3. FD\_SIMPLE

### 7.4.4. FD\_PING

FD\_PING uses a script or command that is run with 1 argument (the host to be pinged) and needs to return 0 (success) or 1 (failure). The default command is /sbin/ping (ping.exe on Windows), but this is user configurable and can be replaced with any user-provided script or executable.

### 7.4.5. FD\_ICMP

Uses InetAddress.isReachable() to determine whether a host is up or not. Note that this is only available in JDK 5, so reflection is used to determine whether InetAddress provides such a method. If not, an exception will be thrown at protocol initialization time.

The problem with InetAddress.isReachable() is that it may or may not use ICMP in its implementation ! For example, an implementation might try to establish a TCP connection to port 9 (echo service), and - if the echo service is not running - the host would be suspected, although a real ICMP packet would *not* have suspected the host ! Please check your JDK/OS combo before running this protocol.

**Table 7.11. Properties**

Name	Description
bind_addr	The network interface to be used for sending ICMP packets, e.g. bind_addr="192.16.8.0.2"

### 7.4.6. FD SOCK

Failure detection protocol based on a ring of TCP sockets created between group members. Each member in a group connects to its neighbor (last member connects to first) thus forming a ring. Member B is suspected when its neighbor A detects abnormally closed TCP socket (presumably due to a node B crash). However, if a member B is about to leave gracefully, it lets its neighbor A know, so that it does not become suspected.

If you are using a multi NIC machine note that JGroups versions prior to 2.2.8 have FD SOCK implementation that does not assume this possibility. Therefore JVM can possibly select NIC unreachable to its neighbor and setup FD SOCK server socket on it. Neighbor would be unable to connect to that server socket thus resulting in immediate suspecting of a member. Suspected member is kicked out of the group, tries to rejoin, and thus goes into join/leave loop. JGroups version 2.2.8 introduces `srv_sock_bind_addr` property so you can specify network interface where FD SOCK TCP server socket should be bound. This network interface is most likely the same interface used for other JGroups traffic. JGroups versions 2.2.9 and newer consult `bind.address` system property or you can specify network interface directly as FD SOCK `bind_addr` property.

**Table 7.12. Properties**

Name	Description
bind_addr	The NIC on which the ServerSocket should listen on
bind_interface_str	The interface (NIC) which should be used by this transport
get_cache_timeout	Timeout for getting socket cache from coordinator. Default is 1000 msec
keep_alive	Whether to use KEEP_ALIVE on the ping socket or not. Default is true
level	Sets the logger level (see javadocs)
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_tries	Number of attempts coordinator is solicited for socket cache until we give up. Default is 3
sock_conn_timeout	Max time in millis to wait for ping Socket.connect() to return
start_port	Start port for server socket. Default value of 0 picks a random port
stats	Determines whether to collect statistics (and expose them via JMX). Default is true

Name	Description
suspect_msg_interval	Interval for broadcasting suspect messages. Default is 5000 msec

## 7.4.7. VERIFY\_SUSPECT

**Table 7.13. Properties**

Name	Description
bind_addr	Interface for ICMP pings. Used if use_icmp is true
bind_interface_str	The interface (NIC) which should be used by this transport
level	Sets the logger level (see javadocs)
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_msgs	Number of verify heartbeats sent to a suspected member
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
timeout	Number of millisecs to wait for a response from a suspected member
use_icmp	Use InetAddress.isReachable() to verify suspected member instead of regular messages

## 7.5. Reliable message transmission

### 7.5.1. pbcast.NAKACK

NAKACK provides reliable delivery and FIFO (= First In First Out) properties for messages sent to all nodes in a cluster.

Reliable delivery means that no message sent by a sender will ever be lost, as all messages are numbered with sequence numbers (by sender) and retransmission requests are sent to the sender of a message<sup>13</sup> if that sequence number is not received.

FIFO order means that all messages from a given sender are received in exactly the order in which they were sent.

<sup>13</sup> Note that NAKACK can also be configured to send retransmission requests for M to *anyone* in the cluster, rather than only to the sender of M.

**Table 7.14. Properties**

Name	Description
discard_delivered_msgs	Should messages delivered to application be discarded
enable_xmit_time_stats	If true, retransmissions stats will be captured. Default is false
exponential_backoff	The first value (in milliseconds) to use in the exponential backoff. Enabled if greater than 0. Default is 0
gc_lag	Garbage collection lag
level	Sets the logger level (see javadocs)
log_discard_msgs	discards warnings about promiscuous traffic
log_not_found_msgs	If true, trashes warnings about retransmission messages not found in the xmit_table (used for testing)
max_rebroadcast_timeout	Timeout to rebroadcast messages. Default is 2000 msec
max_xmit_buf_size	If value is > 0, the retransmit buffer is bounded. If value <= 0 unbounded buffers are used. Default is 0
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
print_stability_history_on_failed_xmit	Should stability history be printed if we fail in retransmission. Default is false
retransmit_timeouts	Timeout before requesting retransmissions. Default is 600, 1200, 2400, 4800
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
use_mcast_xmit	Retransmit messages using multicast rather than unicast
use_mcast_xmit_req	Use a multicast to request retransmission of missing messages. Default is false
use_range_based_retransmitter	Whether to use the old retransmitter which retransmits individual messages or the new one which uses ranges of retransmitted messages. Default is true. Note that this property will be removed in 3.0; it is only used to switch back to the old (and proven) retransmitter mechanism if issues occur
use_stats_for_retransmission	Use statistics gathered from actual retransmission times to compute new retransmission times. Default

Name	Description
	is false
xmit_from_random_member	Ask a random member for retransmission of a missing message. Default is false
xmit_history_max_size	Size of retransmission history. Default is 50 entries

## 7.5.2. UNICAST

UNICAST provides reliable delivery and FIFO (= First In First Out) properties for point-to-point messages between one sender and one receiver.

Reliable delivery means that no message sent by a sender will ever be lost, as all messages are numbered with sequence numbers (by sender) and retransmission requests are sent to the sender of a message<sup>14</sup> if that sequence number is not received.

FIFO order means that all messages from a given sender are received in exactly the order in which they were sent.

On top of a reliable transport, such as TCP, UNICAST is not really needed. However, concurrent delivery of messages from the same sender is prevented by UNICAST by acquiring a lock on the sender's retransmission table, so unless concurrent delivery is desired, UNICAST should not be removed from the stack even if TCP is used.

**Table 7.15. Properties**

Name	Description
level	Sets the logger level (see javadocs)
loopback	Whether to loop back messages sent to self. Default is false
max_retransmit_time	Max number of milliseconds we try to retransmit a message to any given member. After that, the connection is removed. Any new connection to that member will start with seqno #1 again. 0 disables this
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
stats	Determines whether to collect statistics (and expose them via JMX). Default is true

## 7.6. Fragmentation

### 7.6.1. FRAG and FRAG2

**Table 7.16. Properties**

Name	Description
frag_size	The max number of bytes in a message. Larger messages will be fragmented. Default is 8192 bytes
level	Sets the logger level (see javadocs)
max_retained_buffer	The max size in bytes for the byte array output buffer
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
stats	Determines whether to collect statistics (and expose them via JMX). Default is true

## 7.7. Ordering (FIFO covered by NAKACK)

### 7.7.1. Total Order (SEQUENCER)

## 7.8. Group Membership

Group membership takes care of joining new members, handling leave requests by existing members, and handling SUSPECT messages for crashed members, as emitted by failure detection protocols. The algorithm for joining a new member is essentially:

```

- loop
- find initial members (discovery)
- if no responses:
- become singleton group and break out of the loop
- else:
- determine the coordinator (oldest member) from the responses
- send JOIN request to coordinator
- wait for JOIN response
- if JOIN response received:
- install view and break out of the loop
- else
- sleep for 5 seconds and continue the loop

```

### 7.8.1. pbcast.GMS

**Table 7.17. Properties**

Name	Description
disable_initial_coord	If true this member can never become coordinator. Default is false
flushInvokerClass	
handle_concurrent_startup	Temporary switch. Default is true and should not be changed
join_timeout	Join timeout. Default is 5000 msec
leave_timeout	Leave timeout. Default is 5000 msec
level	Sets the logger level (see javadocs)
log_collect_msgs	Logs failures for collecting all view acks if true
max_bundling_time	Max view bundling timeout if view bundling is turned on. Default is 50 msec
merge_timeout	Timeout to complete merge. Default is 10000 msec
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
num_prev_mbrs	Max number of old members to keep in history. Default is 50
print_local_addr	Print local address of this member after connect. Default is true
print_physical_addrs	Print physical address(es) on startup
resume_task_timeout	Timeout to resume ViewHandler. Default is 10000 msec
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
use_flush_if_present	Use flush for view changes. Default is true
view_ack_collection_timeout	Time in ms to wait for all VIEW acks (0 == wait forever). Default is 2000 msec
view_bundling	View bundling toggle

### 7.8.1.1. Disabling the initial coordinator

Consider the following situation: a new member wants to join a group. The procedure to do so is:

- Multicast an (unreliable) discovery request (ping)
- Wait for n responses or m milliseconds (whichever is first)
- Every member responds with the address of the coordinator

- If the initial responses are  $> 0$ : determine the coordinator and start the JOIN protocol
- If the initial response are 0: become coordinator, assuming that no one else is out there

However, the problem is that the initial mcast discovery request might get lost, e.g. when multiple members start at the same time, the outgoing network buffer might overflow, and the mcast packet might get dropped. Nobody receives it and thus the sender will not receive any responses, resulting in an initial membership of 0. This could result in multiple coordinators, and multiple subgroups forming. How can we overcome this problem ? There are 3 solutions:

1. Increase the timeout, or number of responses received. This will only help if the reason of the empty membership was a slow host. If the mcast packet was dropped, this solution won't help
2. Add the MERGE(2) protocol. This doesn't actually prevent multiple initial coordinators, but rectifies the problem by merging different subgroups back into one. Note that this involves state merging which needs to be done by the application.
3. (new) Prevent members from becoming coordinator on initial startup. This solution is applicable when we know which member is going to be the initial coordinator of a fresh group. We don't care about afterwards, then coordinatorship can migrate to another member. In this case, we configure the member that is always supposed to be started first with `disable_initial_coord=false` (the default) and all other members with `disable_initial_coord=true`. This works as described below.

When the initial membership is received, and is null, and the property `disable_initial_coord` is true, then we just continue in the loop and retry receiving the initial membership (until it is non-null). If the property is false, we are allowed to become coordinator, and will do so. Note that - if a member is started as first member of a group - but its property is set to true, then it will loop until another member whose `disable_initial_coord` property is set to false, is started.

## 7.9. Security

### 7.9.1. ENCRYPT

**Table 7.18. Properties**

Name	Description
alias	Alias used for recovering the key. Change the default
asymAlgorithm	Cipher engine transformation for asymmetric algorithm. Default is RSA
asymInit	Initial public/private key length. Default is 512
asymProvider	Cryptographic Service Provider. Default is Bouncy Castle Provider
encrypt_entire_message	

Name	Description
keyPassword	Password for recovering the key. Change the default
keyStoreName	File on classpath that contains keystore repository
level	Sets the logger level (see javadocs)
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
storePassword	Password used to check the integrity/unlock the key-store. Change the default
symAlgorithm	Cipher engine transformation for symmetric algorithm. Default is AES
symInit	Initial key length for matching symmetric algorithm. Default is 128

## 7.9.2. AUTH

## 7.10. State Transfer

### 7.10.1. pbcast.STATE\_TRANSFER

### 7.10.2. pbcast.STREAMING\_STATE\_TRANSFER

#### 7.10.2.1. Overview

In order to transfer application state to a joining member of a group pbcast.STATE\_TRANSFER has to load entire state into memory and send it to a joining member. Major limitation of this approach is that the state transfer that is very large (>1Gb) would likely result in `OutOfMemoryException`. In order to alleviate this problem a new state transfer methodology, based on a streaming state transfer, was introduced in JGroups 2.4

Streaming state transfer supports both partial and full state transfer.

Streaming state transfer provides an `InputStream` to a state reader and an `OutputStream` to a state writer. `OutputStream` and `InputStream` abstractions enable state transfer in byte chunks thus resulting in smaller memory requirements. For example, if application state consists a huge DOM tree, whose aggregate size is 2GB (and which has partly been passivated to disk), then the state provider (ie. the coordinator) can simply iterate over the DOM tree (activating the parts which have been passivated out to disk), and write to the `OutputStream` as it traverses the tree. The state receiver will simply read from the `InputStream` and reconstruct the tree on its side, possibly again passivating parts to disk.

Rather than having to provide a 2GB byte[] buffer, streaming state transfer transfers the state in chunks of N bytes where N is user configurable.

Prior to 2.6.9 and 2.8 releases streaming state transfer relied exclusively on its own tcp sockets to transfer state between members. The downside of tcp socket approach is that it is not firewall friendly. If use\_default\_transport property of pbcaster.STREAMING\_STATE\_TRANSFER is set to true streaming state transfer will use normal messages to transfer state. This approach besides being completely transparent to application is also firewall friendly. However, as expected, tcp sockets have better performance.

### 7.10.2.2. API

Streaming state transfer, just as regular byte based state transfer, can be used in both pull and push mode. Similarly to the current getState and setState methods of org.jgroups.MessageListener, application interested in streaming state transfer in a push mode would implement streaming getState method(s) by sending/writing state through a provided OutputStream reference and setState method(s) by receiving/reading state through a provided InputStream reference. In order to use streaming state transfer in a push mode, existing ExtendedMessageListener has been expanded to include additional four methods:

```

public interface ExtendedMessageListener
{
    /*non-streaming callback methods omitted for clarity*/

    /**
     * Allows an application to write a state through a provided OutputStream.
     * An application is obligated to always close the given OutputStream reference.
     *
     * @param ostream the OutputStream
     * @see OutputStream#close()
     */
    public void getState(OutputStream ostream);

    /**
     * Allows an application to write a partial state through a provided OutputStream.
     * An application is obligated to always close the given OutputStream reference.
     *
     * @param state_id id of the partial state requested
     * @param ostream the OutputStream
     * @see OutputStream#close()
     */
    public void getState(String state_id, OutputStream ostream);

    /**
     * Allows an application to read a state through a provided InputStream.
     * An application is obligated to always close the given InputStream reference.
     *
     * @param istream the InputStream
     * @see InputStream#close()
     */
    public void setState(InputStream istream);

    /**
     * Allows an application to read a partial state through a provided InputStream.
     * An application is obligated to always close the given InputStream reference.
     *

```

```

    * @param state_id id of the partial state requested
    * @param istream the InputStream
    *
    * @see InputStream#close()
    */
    public void setState(String state_id, InputStream istream);
}

```

For a pull mode (when application uses `channel.receive()` to fetch events) two new event classes will be introduced:

- `StreamingGetStateEvent`
- `StreamingSetStateEvent`

These two events/classes are very similar to existing `GetStateEvent` and `SetStateEvent` but introduce a new field; `StreamingGetStateEvent` has an `OutputStream` and `StreamingSetStateEvent` has an `InputStream`.

The following code snippet demonstrates how to pull events from a channel, processing `StreamingGetStateEvent` and sending hypothetical state through a provided `OutputStream` reference. Handling of `StreamingSetStateEvent` is analogous to this example:

```

...
        Object obj=channel.receive(0);
        if(obj instanceof StreamingGetStateEvent) {
            StreamingGetStateEvent evt=(StreamingGetStateEvent)obj;
            OutputStream oos = null;
            try {
                oos = new ObjectOutputStream(evt.getArg());
                oos.writeObject(state);
                oos.flush();
            } catch (Exception e) {}
            finally{
                try {
                    oos.close();
                } catch (IOException e) {
                    System.err.println(e);
                }
            }
        }
        ...

```

API that initiates state transfer on a `JChannel` level has the following methods:

```

public boolean getState(Address target,long timeout)throws
    ChannelNotConnectedException,ChannelClosedException;
public boolean getState(Address target,String state_id,long timeout)throws
    ChannelNotConnectedException,ChannelClosedException;

```

Introduction of `STREAMING_STATE_TRANSFER` does *not* change the current API.

### 7.10.2.3. Configuration

State transfer type choice is static, implicit and mutually exclusive. `JChannel` cannot use both `STREAM-`

ING\_STATE\_TRANSFER and STATE\_TRANSFER in one JChannel configuration.

STREAMING\_STATE\_TRANSFER allows the following configuration parameters:

**Table 7.19. Properties**

Name	Description
bind_addr	The interface (NIC) used to accept state requests
bind_interface_str	The interface (NIC) which should be used by this transport
bind_port	The port listening for state requests. Default value of 0 binds to any (ephemeral) port
buffer_queue_size	If default transport is used the total state buffer size before state producer is blocked. Default is 81920 bytes
level	Sets the logger level (see javadocs)
max_pool	Maximum number of pool threads serving state requests. Default is 5
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
pool_thread_keep_alive	Keep alive for pool threads serving state requests. Default is 20000 msec
socket_buffer_size	Buffer size for state transfer. Default is 8192 bytes
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
use_default_transport	If true default transport is used for state transfer rather than separate TCP sockets. Default is false

#### 7.10.2.4. Other considerations

Threading model used for state writing in a member providing state and state reading in a member receiving a state is tunable. For state provider thread pool is used to spawn threads providing state. Thus member providing state, in a push mode, will be able to concurrently serve N state requests where N is max\_threads configuration parameter of the thread pool. If there are no further state transfer requests pool threads will be automatically reaped after configurable "pool\_thread\_keep\_alive" timeout expires. For a channel operating in the push mode state reader channel can read state by piggybacking on jgroups protocol stack thread or optionally use a separate thread. State reader should use a separate thread if state reading is expensive (eg. large state, serialization) thus potentially affecting liveness of jgroups protocol thread. Since most state transfers are very short (<2-3 sec) by default we do not use a separate thread.

## 7.11. Flow control

Flow control takes care of adjusting the rate of a message sender to the rate of the slowest receiver over time. If a sender continuously sends messages at a rate that is faster than the receiver(s), the receivers will either queue up messages, or the messages will get discarded by the receiver(s), triggering costly retransmissions. In addition, there is spurious traffic on the cluster, causing even more retransmissions.

Flow control throttles the sender so the receivers are not overrun with messages.

### 7.11.1. FC

FC uses a credit based system, where each sender has `max_credits` credits and decrements them whenever a message is sent. The sender blocks when the credits fall below 0, and only resumes sending messages when it receives a replenishment message from the receivers.

The receivers maintain a table of credits for all senders and decrement the given sender's credits as well, when a message is received.

When a sender's credits drops below a threshold, the receiver will send a replenishment message to the sender. The threshold is defined by `min_bytes` or `min_threshold`.

**Table 7.20. Properties**

Name	Description
<code>ignore_synchronous_response</code>	Does not block a down message if it is a result of handling an up message in the same thread. Fixes JGRP-928
<code>level</code>	Sets the logger level (see javadocs)
<code>max_block_time</code>	Max time (in milliseconds) to block. Default is 5000 msec
<code>max_block_times</code>	Max times to block for the listed message sizes ( <code>Message.getLength()</code> ). Example: "1000:10,5000:30,10000:500"
<code>max_credits</code>	Max number of bytes to send per receiver until an ack must be received to proceed. Default is 500000 bytes
<code>min_credits</code>	Computed as <code>max_credits x min_threshold</code> unless explicitly set
<code>min_threshold</code>	If credits (bytes) used so far fall below this limit, we send more credits to the sender
<code>name</code>	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
<code>stats</code>	Determines whether to collect statistics (and expose them via JMX). Default is true

## 7.11.2. SFC

A simplified version of FC. FC can actually still overrun receivers when the transport's latency is very small. SFC is a simple flow control protocol for group (= multipoint) messages.

Every sender has `max_credits` bytes for sending multicast messages to the group.

Every multicast message (we don't consider unicast messages) decrements `max_credits` by its size. When `max_credits` falls below 0, the sender asks all receivers for new credits and blocks until *all* credits have been received from all members.

When the receiver receives a credit request, it checks whether it has received `max_credits` bytes from the requester since the last credit request. If yes, it sends new credits to the requester and resets the `max_credits` for the requester. Else, it takes a note of the credit request from P and - when `max_credits` bytes have finally been received from P - it sends the credits to P and resets `max_credits` for P.

The maximum amount of memory for received messages is therefore `<number of senders> * max_credits`.

The relationship with STABLE is as follows: when a member Q is slow, it will prevent STABLE from collecting messages above the ones seen by Q (everybody else has seen more messages). However, because Q will *not* send credits back to the senders until it has processed all messages worth `max_credits` bytes, the senders will block. This in turn allows STABLE to progress and eventually garbage collect most messages from all senders. Therefore, SFC and STABLE complement each other, with SFC blocking senders so that STABLE can catch up.

**Table 7.21. Properties**

Name	Description
level	Sets the logger level (see javadocs)
max_block_time	Max time (in milliseconds) to block. Default is 5000 msec
max_credits	Max number of bytes to send per receiver until an ack must be received to proceed. Default is 2000000 bytes
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
stats	Determines whether to collect statistics (and expose them via JMX). Default is true

## 7.12. Message stability

To serve potential retransmission requests, a member has to store received messages until it is known that every member in the cluster has received them. Message stability for a given message M means that M has been seen by everyone in the cluster.

The stability protocol periodically (or when a certain number of bytes have been received) initiates a consensus protocol, which multicasts a stable message containing the highest message numbers for a given member. This is called a digest.

When everyone has received everybody else's stable messages, a digest is computed which consists of the minimum sequence numbers of all received digests so far. This is the stability vector, and contain only message sequence numbers that have been seen by everyone.

This stability vector is the broadcast to the group and everyone can remove messages from their retransmission tables whose sequence numbers are smaller than the ones received in the stability vector. These messages can then be garbage collected.

### 7.12.1. STABLE

**Table 7.22. Properties**

Name	Description
desired_avg_gossip	Average time to send a STABLE message. Default is 20000 msec
level	Sets the logger level (see javadocs)
max_bytes	Maximum number of bytes received in all messages before sending a STABLE message is triggered. Default is 0 (disabled)
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
stability_delay	Delay before stability message is sent. Default is 6000 msec
stats	Determines whether to collect statistics (and expose them via JMX). Default is true

## 7.13. Misc

### 7.13.1. COMPRESS

### 7.13.2. pbcast.FLUSH

Flushing forces group members to send all their pending messages prior to a certain event. The process of flushing acquiesces the cluster so that state transfer or a join can be done. It is also called the stop-the-world model as nobody will be able to send messages while a flush is in process. Flush is used:

- State transfer

When a member requests state transfer it tells everyone to stop sending messages and waits for everyone's ack. Then it asks the application for its state and ships it back to the requester. After the requester has received and set the state successfully, the requester tells everyone to resume sending messages.

- View changes (e.g.a join). Before installing a new view V2, flushing would ensure that all messages \*sent\* in the current view V1 are indeed \*delivered\* in V1, rather than in V2 (in all non-faulty members). This is essentially Virtual Synchrony.

FLUSH is designed as another protocol positioned just below the channel, e.g. above STATE\_TRANSFER and FC. STATE\_TRANSFER and GMS protocol request flush by sending a SUSPEND event up the stack, where it is handled by the FLUSH protocol. The SUSPEND\_OK ack sent back by the FLUSH protocol let's the caller know that the flush has completed. When done (e.g. view was installed or state transferred), the protocol sends up a RESUME event, which will allow everyone in the cluster to resume sending.

Channel can be notified that FLUSH phase has been started by turning channel block option on. By default it is turned off. If channel blocking is turned on FLUSH notifies application layer that channel has been blocked by sending EVENT.BLOCK event. Channel responds by sending EVENT.BLOCK\_OK event down to FLUSH protocol. We recommend turning on channel block notification only if channel is used in push mode. In push mode application that uses channel can perform block logic by implementing MembershipListener.block() callback method.

**Table 7.23. Properties**

Name	Description
enable_reconciliation	Reconciliation phase toggle. Default is true
end_flush_timeout	Timeout to wait for UNBLOCK after STOP_FLUSH is issued. Default is 2000 msec
level	Sets the logger level (see javadocs)
name	Give the protocol a different name if needed so we can have multiple instances of it in the same stack
retry_timeout	Retry timeout after an unsuccessful attempt to quiet the cluster (first flush phase). Default is 3000 msec
start_flush_timeout	Timeout (per attempt) to quiet the cluster during the first flush phase. Default is 2000 msec
stats	Determines whether to collect statistics (and expose them via JMX). Default is true
timeout	Max time to keep channel blocked in flush. Default is 8000 msec

---

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